

**Convention on International Trade In Endangered Species of Wild  
Fauna and Flora, Washington 1973 as amended on 22 June 1979  
and 30 April 1983**

Multilateral

copy @ [lexmercatoria.org](http://lexmercatoria.org)

---

Copyright © 1983 Multilateral

**Contents**

**Convention on International Trade In Endangered Species of Wild Fauna and Flora, Washington 1973 as amended on 22 June 1979 and 30 April 1983** 1

Article I - Definitions . . . . . 1

Article II - Fundamental Principles . . . . . 1

Article III - Regulation of Trade in Specimens of Species Included in Appendix I . . . . . 2

Article IV - Regulation of Trade in Specimens of Species Included in Appendix II . . . . . 3

Article V - Regulation of Trade in Specimens of Species Included in Appendix III . . . . . 4

Article VI - Permits and Certificates . . . . . 4

Article VII - Exemptions and Other Special Provisions Relating to Trade . . . . . 5

Article VIII - Measures to be Taken by the Parties . . . 6

Article IX - Management and Scientific Authorities . . 7

Article X - Trade with States not Party to the Convention 7

Article XI - Conference of the Parties . . . . . 7

Article XII - The Secretariat . . . . . 8

Article XIII - International Measures . . . . . 9

Article XIV - Effect on Domestic Legislation and International Conventions . . . . . 9

Article XV - Amendments to Appendices I and II . . . 10

Article XVI - Appendix III and Amendments Thereto . 11

Article XVII - Amendment of the Convention . . . . . 12

Article XVIII - Resolution of Disputes . . . . . 12

Article XIX - Signature . . . . . 12

Article XX - Ratification, Acceptance, Approval . . . . 12

Article XXI - Accession . . . . . 12

Article XXII - Entry into Force . . . . . 13

Article XXIII - Reservations . . . . . 13

Article XXIV - Denunciation . . . . . 14

Article XXV - Depository . . . . . 14

**Metadata** 15

SiSU Metadata, document information . . . . . 15

1 **Convention on International Trade In Endangered  
Species of Wild Fauna and Flora, Washington 1973  
as amended on 22 June 1979 and 30 April 1983**

2 The Contracting States,

3 Recognizing that wild fauna and flora in their many beautiful and  
varied forms are an irreplaceable part of the natural systems of  
the earth which must be protected for this and the generations  
to come;

4 Conscious of the ever growing value of wild fauna and flora from  
aesthetic, scientific, cultural, recreational and economic points  
of view;

5 Recognizing that peoples and States are and should be the best  
protectors of their own wild fauna and flora;

6 Recognizing, in addition, that international co-operation is es-  
sential for the protection of certain species of wild fauna and  
flora against over exploitation through international trade;

7 Convinced of the urgency of taking appropriate measures to  
this end;

8 Have agreed as follows:

9 **Article I - Definitions**

10 For the purpose of the present Convention, unless the context  
otherwise requires:

11 (a) "Species" means any species, subspecies, or geographi-  
cally separate population thereof;

12 (b) "Specimen" means:

13 (i) any animal or plant, whether alive or dead;

14 (ii) in the case of an animal; for species included in Appendices

I and II, any readily recognizable part or derivative thereof; and  
for species included in Appendix III, any readily recognizable  
part or derivative thereof specified in Appendix III in relation to  
the species; and

(iii) in the case of a plant; for species included in Appendix I, any 15  
readily recognizable part or derivative thereof; and for species  
included in Appendices II and III, any readily recognizable part  
or derivative thereof specified in Appendices II and III in relation  
to the species;

(c) "Trade" means export, re-export, import and introduction 16  
from the sea;

(d) "Re-export" means export of any specimen that has previ- 17  
ously been imported;

(e) "Introduction from the sea" means transportation into a State 18  
of specimens of any species which were taken in the marine  
environment not under the jurisdiction of any State;

(f) "Scientific Authority" means a national scientific authority 19  
designated in accordance with Article IX;

(g) "Management Authority" means a national management au- 20  
thority designated in accordance with Article IX;

(h) "Party" means a State for which the present Convention has 21  
entered into force.

22 **Article II - Fundamental Principles**

1. Appendix I shall include all species threatened with extinction 23  
which are or may be affected by trade. Trade in specimens of  
these species must be subject to particularly strict regulation in  
order not to endanger further their survival and must only be  
authorized in exceptional circumstances.

2. Appendix II shall include: 24

25 (a) all species which although not necessarily now threatened  
with extinction may become so unless trade in specimens of  
such species is subject to strict regulation in order to avoid uti-  
lization incompatible with their survival; and

26 (b) other species which must be subject to regulation in order  
that trade in specimens of certain species referred to in sub-  
paragraph (a) of this paragraph may be brought under effective  
control.

27 3. Appendix III shall include all species which any Party iden-  
tifies as being subject to regulation within its jurisdiction for the  
purpose of preventing or restricting exploitation, and as needing  
the co-operation of other Parties in the control of trade.

28 4. The Parties shall not allow trade in specimens of species  
included in Appendices I, II and III except in accordance with  
the provisions of the present Convention.

### 29 **Article III - Regulation of Trade in Specimens of Species Included in Appendix I**

30 1. All trade in specimens of species included in Appendix I shall  
be in accordance with the provisions of this Article.

31 2. The export of any specimen of a species included in Ap-  
pendix I shall require the prior grant and presentation of an ex-  
port permit. An export permit shall only be granted when the  
following conditions have been met:

32 (a) a Scientific Authority of the State of export has advised  
that such export will not be detrimental to the survival of that  
species;

33 (b) a Management Authority of the State of export is satisfied  
that the specimen was not obtained in contravention of the laws  
of that State for the protection of fauna and flora;

34 (c) a Management Authority of the State of export is satisfied

that any living specimen will be so prepared and shipped as to  
minimize the risk of injury, damage to health or cruel treatment;  
and a Management Authority of the State of export is satisfied  
that an import permit has been granted for the specimen.

35 3. The import of any specimen of a species included in Ap-  
pendix I shall require the prior grant and presentation of an im-  
port permit and either an export permit or a re-export certificate.  
An import permit shall only be granted when the following con-  
ditions have been met:

36 (a) a Scientific Authority of the State of import has advised that  
the import will be for purposes which are not detrimental to the  
survival of the species involved;

37 (b) a Scientific Authority of the State of import is satisfied that  
the proposed recipient of a living specimen is suitably equipped  
to house and care for it; and

38 (c) a Management Authority of the State of import is satisfied  
that the specimen is not to be used for primarily commercial  
purposes.

39 4. The re-export of any specimen of a species included in Ap-  
pendix I shall require the prior grant and presentation of a re-  
export certificate. A re-export certificate shall only be granted  
when the following conditions have been met:

40 (a) a Management Authority of the State of re-export is satisfied  
that the specimen was imported into that State in accordance  
with the provisions of the present Convention;

41 (b) a Management Authority of the State of re-export is satisfied  
that any living specimen will be so prepared and shipped as to  
minimize the risk of injury, damage to health or cruel treatment;  
and

42 (c) a Management Authority of the State of re-export is satis-  
fied that an import permit has been granted for any living spec-  
imen.

43 5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

44 (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;

45 (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and

46 (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

#### 47 **Article IV - Regulation of Trade in Specimens of Species Included in Appendix II**

48 1. All trade in specimens of species included in Appendix II shall be in accordance with the provision of this Article.

49 2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

50 (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

51 (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and

52 (c) a Management Authority of the State of export is satisfied

that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

53 3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

54 4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

55 5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

56 (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and

57 (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

58 6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction.

A certificate shall only be granted when the following conditions have been met:

(a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and

(b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

#### **Article V - Regulation of Trade in Specimens of Species Included in Appendix III**

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

(a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and

(b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by a Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

#### **Article VI - Permits and Certificates**

1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.

4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.

5. A separate permit or certificate shall be required for each consignment of specimens.

6. A Management Authority of the State of import of any speci-

men shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.

76 7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

### 77 **Article VII - Exemptions and Other Special Provisions Relating to Trade**

78 1. The provisions of Articles III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.

79 2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.

80 3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:

81 (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or

82 (b) in the case of specimens of species included in Appendix II:

83 (i) they were acquired by the owner outside his State of

usual residence and in a State where removal from the wild occurred;

(ii) they are being imported into the owner's State of usual residence; and 84

(iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens; unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens. 85

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II. 86

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V. 87

6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority. 88

7. A management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travel- 89

ling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

- 90 (a) the exporter or importer registers full details of such specimens with that Management Authority;
- 91 (b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
- 92 (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

### 93 **Article VIII - Measures to be Taken by the Parties**

94 1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

- 95 (a) to penalize trade in, or possession of, such specimens, or both; and
- 96 (b) to provide for the confiscation or return to the State of export of such specimens.

97 2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any methods of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.

98 3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further

that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article: 99

(a) the specimen shall be entrusted to a Management Authority of the State of confiscation; 100

(b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and 101

(c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under subparagraph (b) of this paragraph, including the choice of a rescue centre or other place. 102

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated. 103

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover: 104

(a) the names and addresses of exporters and importers; and 105

(b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question. 106

107 7. Each Party shall prepare periodic reports on its implementa-  
tion of the present Convention and shall transmit to the Secre-  
tariat:

108 (a) an annual report containing a summary of the information  
specified in sub-paragraph (b) of paragraph 6 of this Article;  
and

109 (b) a biennial report on legislative, regulatory and administra-  
tive measures taken to enforce the provisions of the present  
Convention.

110 8. The information referred to in paragraph 7 of this Article shall  
be available to the public where this is not inconsistent with the  
law of the Party concerned.

#### 111 **Article IX - Management and Scientific Authorities**

112 1. Each Party shall designate for the purpose of the present  
Convention:

113 (a) one or more Management Authorities competent to grant  
permits or certificates on behalf of that Party; and

114 (b) one or more Scientific Authorities.

115 2. A State depositing an instrument of ratification, acceptance,  
approval or accession shall at that time inform the Depositary  
Government of the name and address of the Management Au-  
thority authorized to communicate with other Parties and with  
the Secretariat.

116 3. Any changes in the designations or authorizations under the  
provisions of this Article shall be communicated by the Party  
concerned to the Secretariat for transmission to all other Par-  
ties.

117 4. Any Management Authority referred to in paragraph 2 of this

Article shall if so requested by the Secretariat or the Manage-  
ment Authority of another Party, communicate to it impression  
of stamps, seals or other devices used to authenticate permits  
or certificates.

#### **Article X - Trade with States not Party to the Convention**

Where export or re-export is to, or import is from, a State not  
a Party to the present Convention, comparable documentation  
issued by the competent authorities in that State which substan-  
tially conforms with the requirements of the present Convention  
for permits and certificates may be accepted in lieu thereof by  
any Party.

#### **Article XI - Conference of the Parties**

1. The Secretariat shall call a meeting of the Conference of the  
Parties not later than two years after the entry into force of the  
present Convention.

2. Thereafter the Secretariat shall convene regular meetings at  
least once every two years, unless the Conference decides oth-  
erwise, and extraordinary meetings at any time on the written  
request of at least one-third of the Parties.

3. At meetings, whether regular or extraordinary, the Parties  
shall review the implementation of the present Convention and  
may:

(a) make such provision as may be necessary to enable the  
Secretariat to carry out its duties, [and adopt financial provi-  
sions];<sup>1</sup>

(b) consider and adopt amendments to Appendices I and II in  
accordance with Article XV;

126 (c) review the progress made towards the restoration and  
conservation of the species included in Appendices I, II and  
III;

127 (d) receive and consider any reports presented by the Secre-  
tariat or by any Party; and

128 (e) where appropriate, make recommendations for improving  
the effectiveness of the present Convention.

129 4. At each regular meeting, the Parties may determine the time  
and venue of the next regular meeting to be held in accordance  
with the provisions of paragraph 2 of this Article.

130 5. At any meeting, the Parties may determine and adopt rules  
of procedure for the meeting.

131 6. The United Nations, its Specialized Agencies and the In-  
ternational Atomic Energy Agency, as well as any State not a  
Party to the present Convention, may be represented at meet-  
ings of the Conference by observers, who shall have the right  
to participate but not to vote.

132 7. Any body or agency technically qualified in protection, con-  
servation or management of wild fauna and flora, in the follow-  
ing categories, which has informed the Secretariat of its desire  
to be represented at meetings of the Conference by observers,  
shall be admitted unless at least one-third of the Parties present  
object:

133 1 The phrase in brackets is the Financial Amendment (1979)  
which became effective April 13, 1987.

134 (a) international agencies or bodies, either governmental or  
non-governmental, and national governmental agencies and  
bodies; and

135 (b) national non-governmental agencies or bodies which have  
been approved for this purpose by the State in which they are  
located.

Once admitted, these observers shall have the right to partici-  
pate but not to vote. 136

## Article XII - The Secretariat 137

138 1. Upon entry into force of the present Convention, a Secre-  
tariat shall be provided by the Executive Director of the United  
Nations Environment Programme. To the extent and in the  
manner he considers appropriate, he may be assisted by suit-  
able inter-governmental or non-governmental, international or  
national agencies and bodies technically qualified in protection,  
conservation and management of wild fauna and flora.

2. The functions of the Secretariat shall be: 139

(a) to arrange for and service meetings of the Parties; 140

(b) to perform the functions entrusted to it under the provisions  
of Articles XV and XVI of the present Convention; 141

(c) to undertake scientific and technical studies in accordance  
with programmes authorized by the Conference of the Parties  
as will contribute to the implementation of the present Con-  
vention, including studies concerning standards for appropriate  
preparation and shipment of living specimens and the means  
of identifying specimens; 142

(d) to study the reports of Parties and to request from Parties  
such further information with respect thereto as it deems neces-  
sary to ensure implementation of the present Convention; 143

(e) to invite the attention of the Parties to any matter pertaining  
to the aims of the present Convention; 144

(f) to publish periodically and distribute to the Parties current  
editions of Appendices I, II and III together with any informa-  
tion which will facilitate identification of specimens of species  
included in those Appendices; 145

(g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;

147 (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature; and

148 (i) to perform any other function as may be entrusted to it by the Parties.

#### 149 **Article XIII - International Measures**

150 1. When the Secretariat in the light of information received is satisfied that any species included in Appendices I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

151 2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

152 3. The information provided by the Party or resulting from any inquiry as specified in paragraph 7 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

#### **Article XIV - Effect on Domestic Legislation and International Conventions**

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt: 154

(a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or 155

(b) domestic measures restricting or prohibiting trade, taking, possession, or transport of species not included in Appendices I, II or III. 156

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields. 157

3. The provisions of the present Convention shall in no way affect the provisions of or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external Customs control and removing Customs control between the parties thereto insofar as they relate to trade among the States members of that union agreement. 158

4. A State party to the present Convention, which is also a party to any other treaty convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be re- 159

lieved of the obligation imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

160 5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

161 6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

## 162 **Article XV - Amendments to Appendices I and II**

163 1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

164 (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.

165 (b) Amendments shall be adopted by a two-thirds majority

of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

(c) Amendments adopted at a meeting shall enter into force 166 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices 1 and II between meetings of the Conference of the Parties: 167

(a) Any Party may propose an amendment to Appendix I or II 168 for consideration between meetings by the postal procedures set forth in this paragraph.

(b) For marine species, the Secretariat shall, upon receiving the 169 text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.

(c) For species other than marine species, the Secretariat shall, 170 upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.

(d) Any Party may, within 60 days of the date on which the 171 Secretariat communicated its recommendations to the Parties under sub-paragraphs (b) or (c) of this paragraph, transmit to

the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.

172 (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.

173 (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

174 (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraph (h), (i) and (j) of this paragraph.

175 (h) The Secretariat shall notify the Parties that notification of objection has been received.

176 (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further discussion.

177 (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.

178 (k) The Secretariat shall notify all Parties of the result of the vote.

179 (l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

180 3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (1) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

### Article XVI - Appendix III and Amendments Thereto

181 1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

182 2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

184 3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

185 4. Any Party submitting a list under the provisions of paragraph  
1 of this Article shall submit to the Secretariat a copy of all do-  
mestic laws and regulations applicable to the protection of such  
species, together with any interpretations which the Party may  
deem appropriate or the Secretariat may request. The Party  
shall, for as long as the species in question is included in Ap-  
pendix III, submit any amendment of such laws and regulations  
or any new interpretations as they are adopted.

#### 186 **Article XVII - Amendment of the Convention**

187 1. An extraordinary meeting of the Conference of the Parties  
shall be convened by the Secretariat on the written request of  
at least one-third of the Parties to consider and adopt amend-  
ments to the present Convention. Such amendments shall be  
adopted by a two-thirds majority of Parties present and voting.  
For these purposes "Parties present and voting" means Parties  
present and casting an affirmative or negative vote. Parties ab-  
staining from voting shall not be counted among the two-thirds  
required for adopting an amendment.

188 2. The text of any proposed amendment shall be communi-  
cated by the Secretariat to all Parties at least 90 days before  
the meeting.

189 3. An amendment shall enter into force for the Parties which  
have accepted it 60 days after two-thirds of the Parties have  
deposited an instrument of acceptance of the amendment with  
the Depositary Government. Thereafter, the amendment shall  
enter into force for any other Party 60 days after that Party de-  
posits its instrument of acceptance of the amendment.

#### 190 **Article XVIII - Resolution of Disputes**

191 1. Any dispute which may arise between two or more Parties

with respect to the interpretation or application of the provisions  
of the present Convention shall be subject to negotiation be-  
tween the Parties involved in the dispute.

2. If the dispute cannot be resolved in accordance with para- 192  
graph 1 of this Article, the Parties may, by mutual consent, sub-  
mit the dispute to arbitration, in particular that of the Permanent  
Court of Arbitration at The Hague and the Parties submitting the  
dispute shall be bound by the arbitral decision.

#### **Article XIX - Signature** 193

The present Convention shall be open for signature at Wash- 194  
ington until 30th April  
1973 and thereafter at Berne until 31st December 1974. 195

#### **Article XX - Ratification, Acceptance, Approval** 196

The present Convention shall be subject to ratification, 197  
acceptance or approval. Instruments of ratification, accep-  
tance or approval shall be deposited with the Government  
of the Swiss Confederation which shall be the Depositary  
Government.

#### **Article XXI - Accession** 198

1. The present Convention shall be open indefinitely for ac- 199  
cession. Instruments of accession shall be deposited with the  
Depositary Government.

2. This Convention shall be open for accession by regional eco- 200  
nomic integration organizations constituted by sovereign States  
which have competence in respect of the negotiation, conclu-  
sion and implementation of international agreements in matters

transferred to them by their Member States and covered by this Convention.

201 3. In their instruments of accession, such organizations shall declare the extent of their competence with respect to the matters governed by the Convention. These organizations shall also inform the Depositary Government of any substantial modification in the extent of their competence. Notifications by regional economic integration organizations concerning their competence with respect to matters governed by this Convention and modifications thereto shall be distributed to the Parties by the Depositary Government.

202 4. In matters within their competence, such regional integration organizations shall exercise the rights and fulfil the obligations which this Convention attributes to their Member States, which are Parties to the Convention. In such cases the Member States of the organizations shall not be entitled to exercise such rights individually.

203 5. In the fields of their competence, regional economic integration organizations shall exercise their right to vote with a number of votes equal to the number of their Member States which are Parties to the Convention. Such organizations shall not exercise their right to vote if their Member States exercise theirs, and vice versa.

204 6. Any reference to "Party" in the sense used in Article I(h) of this Convention to "State"/"States" or to "State Party"/"States Parties" to the Convention shall be construed as including a reference to any regional economic integration organization having competence in respect of the negotiation, conclusion and application of international agreements in matters covered by this Convention]<sup>1</sup>

<sup>1</sup>The paragraphs in square brackets are an amendment to the Convention which was adopted at an extraordinary meeting of the Conference of the Parties in Gaborone (Botswana) on 30 April 1983. The amendment is not

## Article XXII - Entry into Force

205

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.

206

2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

207

## Article XXIII - Reservations

208

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.

209

2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:

210

(a) any species included in Appendix I, II or III; or

211

(b) any parts or derivatives specified in relation to a species included in Appendix III.

212

3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

213

yet in force. It will enter into force when it has been formally accepted by 54 of the 80 States which were Parties to the Convention on that date.

214 **Article XXIV - Denunciation**

215 Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

216 **Article XXV - Depositary**

217 1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all states that have signed it or deposited instruments of accession to it.

218 2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.

219 3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

220 In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

221 Done at Washington this third day of March, One Thousand Nine Hundred Seventy-three

## Metadata

### SiSU Metadata, document information

**Document Manifest @:**

[http://www.jus.uio.no/lm/endangered\\_fauna\\_flora\\_trade\\_convention\\_1973\\_1983/sisu\\_manifest.html](http://www.jus.uio.no/lm/endangered_fauna_flora_trade_convention_1973_1983/sisu_manifest.html)

**Title:** Convention on International Trade In Endangered Species of Wild Fauna and Flora, Washington 1973 as amended on 22 June 1979 and 30 April 1983

**Creator:** Multilateral

**Rights:** Copyright (C) 1983 Multilateral

**Publisher:** SiSU <http://www.jus.uio.no/sisu> (this copy)

**Date:** 1983-04-30

**Topics Registered:** convention:environmental law|biological diversity|bio and life sciences;multilateral:environmental law|biological diversity|bio and life sciences;- environmental law:convention:endangered species;biological diversity;bio and life sciences

**Version Information**

**Sourcefile:** endangered\_fauna\_flora\_trade\_convention\_1973\_1983.sst

**Filetype:** SiSU text 2.0

**Source Digest:** SHA256(endangered\_fauna\_flora\_trade\_convention\_1973\_-1983.sst)=0acb8d6e8afc252d3c4bed0c1d7eb4cf1b76f6510a369a92e7631796-7185f397

**Skin Digest:** SHA256(skin\_lm.rb)=5acda64a9532f9ef6b71693da2b471d4-efac2f23a8499e68de066eec8ea9b8e9

**Generated**

**Document (dal) last generated:** Tue Sep 21 16:45:48 -0400 2010

**Generated by:** SiSU 2.6.3 of 2010w30/3 (2010-07-28)

**Ruby version:** ruby 1.8.7 (2010-08-16 patchlevel 302) [i486-linux]