

**Patent Cooperation Treaty (PCT), Done at Washington on June 19, 1970, amended on October 2, 1979, and modified on February 3, 1984, and Regulations under the PCT (as in force on January 1, 1985) World Intellectual Property Organization, Geneva 1985
[WIPO Publication Nø 274(E)]**

World Intellectual Property Organization (WIPO)

copy @ lexmercatoria.org *

Copyright © 1985 World Intellectual Property Organization (WIPO)

Generated by SiSU [SiSU 2.0.5 of 2010w12/5] www.jus.uio.no/sisu

Copyright © 1997, current 2010 Ralph Amissah, All Rights Reserved.

SiSU is software for document structuring, publishing and search (with object citation numbering), www.sisudoc.org

SiSU is released under [GPL 3](http://www.fsf.org/licenses/gpl.html) or later, <http://www.fsf.org/licenses/gpl.html>.

Document information:

sourcefile [wipo.pct.patent.cooperation.revised.treaty.1985.sst](#)

Generated by SiSU www.jus.uio.no/sisu

version information: [SiSU 2.0.5 of 2010w12/5](#)

For alternative output formats of this document check:

http://www.jus.uio.no/lm//wipo.pct.patent.cooperation.revised.treaty.1985/sisu_manifest.html

Contents

Patent Cooperation Treaty (PCT), Done at Washington on June 19, 1970, amended on October 2, 1979, and modified on February 3, 1984, and Regulations under the PCT (as in force on January 1, 1985) World Intellectual Property Organization, Geneva 1985 [WIPO Publication Nø 274(E)]

Preamble 1

Introductory Provisions 1

Article 1 - Establishment of a Union 1

Article 2 - Definitions 1

Chapter I - International Application and International Search 3

Article 3 - The International Application 3

Article 4 - The Request 3

Article 5 - The Description 4

Article 6 - The Claims 4

Article 7 - The Drawings 4

Article 8 - Claiming Priority 4

Article 9 - The Applicant 4

Article 10 - The Receiving Office 5

Article 11 - Filing Date and Effects of the International Application 5

Article 12 - Transmittal of the International Application to the International Bureau and the International Searching Authority 5

Article 13 - Availability of Copy of the International Application to Designated Offices 6

Article 14 - Certain Defects in the International Application 6

Article 15 - The International Search 7

Article 16 - The International Searching Authority 7

Article 17 - Procedure before the International Searching Authority 8

Article 18 - The International Search Report 9

Article 19 - Amendment of the Claims before the International Bureau 9

Article 20 - Communication to Designated Offices 9

Article 21 - International Publication 9

Article 22 - Copy, Translation, and Fee, to Designated Offices 10

Article 23 - Delaying of National Procedure 11

Article 24 - Possible Loss of Effect in Designated States 11

Article 25 - Review by Designated Offices 11

Article 26 - Opportunity to Correct before Designated Offices 12

Article 27 - National Requirements 12

Article 28 - Amendment of the Claims, the Description, and the Drawings, before Designated Offices 13

Article 29 - Effects of the International Publication 13

Article 30 - Confidential Nature of the International Application 14

Chapter II - International Preliminary Examination 14

Article 31 - Demand for International Preliminary Examination 14

Article 32 - The International Preliminary Examining Authority 15

Article 33 - The International Preliminary Examination 15

Article 34 - Procedure before the International Preliminary Examining Authority 16

Article 35 - The International Preliminary Examination Report 17

Article 36 - Transmittal, Translation, and Communication, of the International Preliminary Examination Report 18

Article 37 - Withdrawal of Demand or Election 18

| | | | |
|---|-----------|---|-----------|
| Article 38 - Confidential Nature of the International Preliminary Examination | 18 | Chapter VI - Disputes | 29 |
| Article 39 - Copy, Translation, and Fee, to Elected Offices | 19 | Article 59 - Disputes | 29 |
| Article 40 - Delaying of National Examination and Other Processing | 19 | Chapter VII - Revision and Amendment | 29 |
| Article 41 - Amendment of the Claims, the Description, and the Drawings, before Elected Offices | 20 | Article 60 - Revision of the Treaty | 29 |
| Article 42 - Results of National Examination in Elected Offices | 20 | Article 61 - Amendment of Certain Provisions of the Treaty | 30 |
| Chapter III - Common Provisions | 20 | Article 62 - Becoming Party to the Treaty | 30 |
| Article 43 - Seeking Certain Kinds of Protection | 20 | Article 63 - Entry into Force of the Treaty | 30 |
| Article 44 - Seeking Two Kinds of Protection | 20 | Article 64 - Reservations | 31 |
| Article 45 - Regional Patent Treaties | 21 | Article 65 - Gradual Application | 32 |
| Article 46 - Incorrect Translation of the International Application | 21 | Article 66 - Denunciation | 33 |
| Article 47 - Time Limits | 21 | Article 67 - Signature and Languages | 33 |
| Article 48 - Delay in Meeting Certain Time Limits | 21 | Article 68 - Depositary Functions | 33 |
| Article 49 - Right to Practice before International Authorities | 21 | Article 69 - Notifications | 33 |
| Chapter IV - Technical Services | 22 | Metadata | 34 |
| Article 50 - Patent Information Services | 22 | SiSU Metadata, document information | 34 |
| Article 51 - Technical Assistance | 22 | Information on this document copy and an unofficial List of Some web related information and sources | 34 |
| Article 52 - Relations with Other Provisions of the Treaty | 23 | Information on this document copy | 34 |
| Chapter V - Administrative Provisions | 23 | Links that may be of interest | 35 |
| Article 53 - Assembly | 23 | | |
| Article 54 - Executive Committee | 24 | | |
| Article 55 - International Bureau | 25 | | |
| Article 56 - Committee for Technical Cooperation | 26 | | |
| Article 57 - Finances | 27 | | |
| Article 58 - Regulations | 28 | | |

| | | | |
|----|---|---|----|
| 1 | Patent Cooperation Treaty (PCT), Done at Washington on June 19, 1970, amended on October 2, 1979, and modified on February 3, 1984, and Regulations under the PCT (as in force on January 1, 1985) World Intellectual Property Organization, Geneva 1985 [WIPO Publication Nø 274(E)] | Introductory Provisions | 11 |
| 2 | Preamble | Article 1 - Establishment of a Union | 12 |
| 3 | The Contracting States, | (1) The States party to this Treaty (hereinafter called “the Contracting States”) constitute a Union for cooperation in the filing, searching, and examination, of applications for the protection of inventions, and for rendering special technical services. The Union shall be known as the International Patent Cooperation Union. | 13 |
| 4 | Desiring to make a contribution to the progress of science and technology, | (2) No provision of this Treaty shall be interpreted as diminishing the rights under the Paris Convention for the Protection of Industrial Property of any national or resident of any country party to that Convention. | 14 |
| 5 | Desiring to perfect the legal protection of inventions, | | |
| 6 | Desiring to simplify and render more economical the obtaining of protection for inventions where protection is sought in several countries, | Article 2 - Definitions | 15 |
| 7 | Desiring to facilitate and accelerate access by the public to the technical information contained in documents describing new inventions, | For the purposes of this Treaty and the Regulations and unless expressly stated otherwise: | 16 |
| 8 | Desiring to foster and accelerate the economic development of developing countries through the adoption of measures designed to increase the efficiency of their legal systems, whether national or regional, instituted for the protection of inventions by providing easily accessible information on the availability of technological solutions applicable to their special needs and by facilitating access to the ever expanding volume of modern technology, | (i) “application” means an application for the protection of an invention; references to an “application” shall be construed as references to applications for patents for inventions, inventors’ certificates, utility certificates, utility models, patents or certificates of addition, inventors’ certificates of addition, and utility certificates of addition; | 17 |
| 9 | Convinced that cooperation among nations will greatly facilitate the attainment of these aims, | (ii) references to a “patent” shall be construed as references to patents for inventions, inventors’ certificates, utility certificates, utility models, patents or certificates of addition, inventors’ certificates of addition, and utility certificates of addition; | 18 |
| 10 | Have concluded the present Treaty. | (iii) “national patent” means a patent granted by a national authority; | 19 |
| | | (iv) “regional patent” means a patent granted by a national | 20 |

- or an intergovernmental authority having the power to grant patents effective in more than one State;
- 21 (v) “regional application” means an application for a regional patent;
- 22 (vi) references to a “national application” shall be construed as references to applications for national patents and regional patents, other than applications filed under this Treaty;
- 23 (vii) “international application” means an application filed under this Treaty;
- 24 (viii) references to an “application” shall be construed as references to international applications and national applications;
- 25 (ix) references to a “patent” shall be construed as references to national patents and regional patents;
- 26 (x) references to “national law” shall be construed as references to the national law of a Contracting State or, where a regional application or a regional patent is involved, to the treaty providing for the filing of regional applications or the granting of regional patents;
- 27 (xi) “priority date,” for the purposes of computing time limits, means:
- 28 (a) where the international application contains a priority claim under Article 8, the filing date of the application whose priority is so claimed;
- 29 (b) where the international application contains several priority claims under Article 8, the filing date of the earliest application whose priority is so claimed;
- 30 (c) where the international application does not contain any priority claim under Article 8, the international filing date of such application;
- 31 (xii) “national Office” means the government authority of a Contracting State entrusted with the granting of patents; references to a “national Office” shall be construed as referring also to any intergovernmental authority which several States have entrusted with the task of granting regional patents, provided that at least one of those States is a Contracting State, and provided that the said States have authorized that authority to assume the obligations and exercise the powers which this Treaty and the Regulations provide for in respect of national Offices;
- (xiii) “designated Office” means the national Office of or acting for the State designated by the applicant under Chapter I of this Treaty;
- (xiv) “elected Office” means the national Office of or acting for the State elected by the applicant under Chapter II of this Treaty;
- (xv) “receiving Office” means the national Office or the intergovernmental organization with which the international application has been filed;
- (xvi) “Union” means the International Patent Cooperation Union;
- (xvii) “Assembly” means the Assembly of the Union;
- (xviii) “Organization” means the World Intellectual Property Organization;
- (xix) “International Bureau” means the International Bureau of the Organization and, as long as it subsists, the United International Bureaux for the Protection of Intellectual Property (BIRPI);
- (xx) “Director General” means the Director General of the Organization and, as long as BIRPI subsists, the Director of BIRPI.

Chapter I - International Application and International Search

Article 3 - The International Application

(1) Applications for the protection of inventions in any of the Contracting States may be filed as international applications under this Treaty.

(2) An international application shall contain, as specified in this Treaty and the Regulations, a request, a description, one or more claims, one or more drawings (where required), and an abstract.

(3) The abstract merely serves the purpose of technical information and cannot be taken into account for any other purpose, particularly not for the purpose of interpreting the scope of the protection sought.

(4) The international application shall:

- (i) be in a prescribed language;
- (ii) comply with the prescribed physical requirements;
- (iii) comply with the prescribed requirement of unity of invention;
- (iv) be subject to the payment of the prescribed fees.

Article 4 - The Request

(1) The request shall contain:

- (i) a petition to the effect that the international application be processed according to this Treaty;
- (ii) the designation of the Contracting State or States in which protection for the invention is desired on the basis of the international application (“designated States”); if for any designated State a regional patent is available and the applicant wishes to

40 obtain a regional patent rather than a national patent, the request shall so indicate; if, under a treaty concerning a regional patent, the applicant cannot limit his application to certain of the States party to that treaty, designation of one of those States and the indication of the wish to obtain the regional patent shall be treated as designation of all the States party to that treaty; if, under the national law of the designated State, the designation of that State has the effect of an application for a regional patent, the designation of the said State shall be treated as an indication of the wish to obtain the regional patent;

(iii) the name of and other prescribed data concerning the applicant and the agent (if any);

(iv) the title of the invention;

(v) the name of and other prescribed data concerning the inventor where the national law of at least one of the designated States requires that these indications be furnished at the time of filing a national application. Otherwise, the said indications may be furnished either in the request or in separate notices addressed to each designated Office whose national law requires the furnishing of the said indications but allows that they be furnished at a time later than that of the filing of a national application.

(2) Every designation shall be subject to the payment of the prescribed fee within the prescribed time limit.

(3) Unless the applicant asks for any of the other kinds of protection referred to in Article 43, designation shall mean that the desired protection consists of the grant of a patent by or for the designated State. For the purposes of this paragraph, Article 2(ii) shall not apply.

(4) Failure to indicate in the request the name and other prescribed data concerning the inventor shall have no consequence in any designated State whose national law requires the furnishing of the said indications but allows that they be furnished at

a time later than that of the filing of a national application. Failure to furnish the said indications in a separate notice shall have no consequence in any designated State whose national law does not require the furnishing of the said indications.

60 **Article 5 - The Description**

61 The description shall disclose the invention in a manner sufficiently clear and complete for the invention to be carried out by a person skilled in the art.

62 **Article 6 - The Claims**

63 The claim or claims shall define the matter for which protection is sought. Claims shall be clear and concise. They shall be fully supported by the description.

64 **Article 7 - The Drawings**

65 (1) Subject to the provisions of paragraph (2)(ii), drawings shall be required when they are necessary for the understanding of the invention.

66 (2) Where, without being necessary for the understanding of the invention, the nature of the invention admits of illustration by drawings:

67 (i) the applicant may include such drawings in the international application when filed,

68 (ii) any designated Office may require that the applicant file such drawings with it within the prescribed time limit.

69 **Article 8 - Claiming Priority**

70 (1) The international application may contain a declaration, as

prescribed in the Regulations, claiming the priority of one or more earlier applications filed in or for any country party to the Paris Convention for the Protection of Industrial Property. (2)

(a) Subject to the provisions of subparagraph (b), the conditions for, and the effect of, any priority claim declared under paragraph (1) shall be as provided in Article 4 of the Stockholm Act of the Paris Convention for the Protection of Industrial Property. 71

(b) The international application for which the priority of one or more earlier applications filed in or for a Contracting State is claimed may contain the designation of that State. Where, in the international application, the priority of one or more national applications filed in or for a designated State is claimed, or where the priority of an international application having designated only one State is claimed, the conditions for, and the effect of, the priority claim in that State shall be governed by the national law of that State. 72

Article 9 - The Applicant 73

(1) Any resident or national of a Contracting State may file an international application. 74

(2) The Assembly may decide to allow the residents and the nationals of any country party to the Paris Convention for the Protection of Industrial Property which is not party to this Treaty to file international applications. 75

(3) The concepts of residence and nationality, and the application of those concepts in cases where there are several applicants or where the applicants are not the same for all the designated States, are defined in the Regulations. 76

Article 10 - The Receiving Office

78 The international application shall be filed with the prescribed receiving Office, which will check and process it as provided in this Treaty and the Regulations.

79 **Article 11 - Filing Date and Effects of the International Application**

80 (1) The receiving Office shall accord as the international filing date the date of receipt of the international application, provided that that Office has found that, at the time of receipt:

81 (i) the applicant does not obviously lack, for reasons of residence or nationality, the right to file an international application with the receiving Office,

82 (ii) the international application is in the prescribed language,

83 (iii) the international application contains at least the following elements:

84 (a) an indication that it is intended as an international application,

85 (b) the designation of at least one Contracting State,

86 (c) the name of the applicant, as prescribed,

87 (d) a part which on the face of it appears to be a description,

88 (e) a part which on the face of it appears to be a claim or claims.
(2)

89 (a) If the receiving Office finds that the international application did not, at the time of receipt, fulfill the requirements listed in paragraph (1), it shall, as provided in the Regulations, invite the applicant to file the required correction.

77 (b) If the applicant complies with the invitation, as provided in the Regulations, the receiving Office shall accord as the international filing date the date of receipt of the required correction. 90

(3) Subject to Article 64(4), any international application fulfilling the requirements listed in items (i) to (iii) of paragraph (1) and accorded an international filing date shall have the effect of a regular national application in each designated State as of the international filing date, which date shall be considered to be the actual filing date in each designated State. 91

(4) Any international application fulfilling the requirements listed in items (i) to (iii) of paragraph (1) shall be equivalent to a regular national filing within the meaning of the Paris Convention for the Protection of Industrial Property. 92

Article 12 - Transmittal of the International Application to the International Bureau and the International Searching Authority 93

(1) One copy of the international application shall be kept by the receiving Office (“home copy”), one copy (“record copy”) shall be transmitted to the International Bureau, and another copy (“search copy”) shall be transmitted to the competent International Searching Authority referred to in Article 16, as provided in the Regulations. 94

(2) The record copy shall be considered the true copy of the international application. 95

(3) The international application shall be considered withdrawn if the record copy has not been received by the International Bureau within the prescribed time limit. 96

Article 13 - Availability of Copy of the International Application to Designated Offices

98 (1) Any designated Office may ask the International Bureau to transmit to it a copy of the international application prior to the communication provided for in Article 20, and the International Bureau shall transmit such copy to the designated Office as soon as possible after the expiration of one year from the priority date.

99 (2)
100 (a) The applicant may, at any time, transmit a copy of his international application to any designated Office.

101 (b) The applicant may, at any time, ask the International Bureau to transmit a copy of his international application to any designated Office, and the International Bureau shall transmit such copy to the designated Office as soon as possible.

102 (c) Any national Office may notify the International Bureau that it does not wish to receive copies as provided for in subparagraph (b), in which case that subparagraph shall not be applicable in respect of that Office.

103 **Article 14 - Certain Defects in the International Application**

104 (1)
105 (a) The receiving Office shall check whether the international application contains any of the following defects, that is to say:

- 106 (i) it is not signed as provided in the Regulations;
107 (ii) it does not contain the prescribed indications concerning the applicant;
108 (iii) it does not contain a title;

97 (iv) it does not contain an abstract; 109

(v) it does not comply to the extent provided in the Regulations with the prescribed physical requirements. 110

(b) If the receiving Office finds any of the said defects, it shall invite the applicant to correct the international application within the prescribed time limit, failing which that application shall be considered withdrawn and the receiving Office shall so declare. 111

(2) If the international application refers to drawings which, in fact, are not included in that application, the receiving Office shall notify the applicant accordingly and he may furnish them within the prescribed time limit and, if he does, the international filing date shall be the date on which the drawings are received by the receiving Office. Otherwise, any reference to the said drawings shall be considered non-existent. 112

(3) 113

(a) If the receiving Office finds that, within the prescribed time limits, the fees prescribed under Article 3(4)(iv) have not been paid, or no fee prescribed under Article 4(2) has been paid in respect of any of the designated States, the international application shall be considered withdrawn and the receiving Office shall so declare. 114

(b) If the receiving Office finds that the fee prescribed under Article 4(2) has been paid in respect of one or more (but less than all) designated States within the prescribed time limit, the designation of those States in respect of which it has not been paid within the prescribed time limit shall be considered withdrawn and the receiving Office shall so declare. 115

(4) If, after having accorded an international filing date to the international application, the receiving Office finds, within the prescribed time limit, that any of the requirements listed in items (i) to (iii) of Article 11(1) was not complied with at that 116

date, the said application shall be considered withdrawn and the receiving Office shall so declare.

117 **Article 15 - The International Search**

118 (1) Each international application shall be the subject of international search.

119 (2) The objective of the international search is to discover relevant prior art.

120 (3) International search shall be made on the basis of the claims, with due regard to the description and the drawings (if any).

121 (4) The International Searching Authority referred to in Article 16 shall endeavor to discover as much of the relevant prior art as its facilities permit, and shall, in any case, consult the documentation specified in the Regulations.

122 (5)

123 (a) If the national law of the Contracting State so permits, the applicant who files a national application with the national Office of or acting for such State may, subject to the conditions provided for in such law, request that a search similar to an international search (“international-type search”) be carried out on such application.

124 (b) If the national law of the Contracting State so permits, the national Office of or acting for such State may subject any national application filed with it to an international-type search.

125 (c) The international-type search shall be carried out by the International Searching Authority referred to in Article 16 which would be competent for an international search if the national application were an international application and were filed with the Office referred to in subparagraph (a) and subparagraph (b).

If the national application is in a language which the International Searching Authority considers it is not equipped to handle, the international-type search shall be carried out on a translation prepared by the applicant in a language prescribed for international applications and which the International Searching Authority has undertaken to accept for international applications. The national application and the translation, when required, shall be presented in the form prescribed for international applications.

Article 16 - The International Searching Authority

126

(1) International search shall be carried out by an International Searching Authority, which may be either a national Office or an intergovernmental organization, such as the International Patent Institute, whose tasks include the establishing of documentary search reports on prior art with respect to inventions which are the subject of applications.

127

(2) If, pending the establishment of a single International Searching Authority, there are several International Searching Authorities, each receiving Office shall, in accordance with the provisions of the applicable agreement referred to in paragraph (3)(b), specify the International Searching Authority or Authorities competent for the searching of international applications filed with such Office.

128

(3)

129

(a) International Searching Authorities shall be appointed by the Assembly. Any national Office and any intergovernmental organization satisfying the requirements referred to in subparagraph (c) may be appointed as International Searching Authority.

130

(b) Appointment shall be conditional on the consent of the na-

131

tional Office or intergovernmental organization to be appointed and the conclusion of an agreement, subject to approval by the Assembly, between such Office or organization and the International Bureau. The agreement shall specify the rights and obligations of the parties, in particular, the formal undertaking by the said Office or organization to apply and observe all the common rules of international search.

132 (c) The Regulations prescribe the minimum requirements, particularly as to manpower and documentation, which any Office or organization must satisfy before it can be appointed and must continue to satisfy while it remains appointed.

133 (d) Appointment shall be for a fixed period of time and may be extended for further periods.

134 (e) Before the Assembly makes a decision on the appointment of any national Office or intergovernmental organization, or on the extension of its appointment, or before it allows any such appointment to lapse, the Assembly shall hear the interested Office or organization and seek the advice of the Committee for Technical Cooperation referred to in Article 56 once that Committee has been established.

135 **Article 17 - Procedure before the International Searching Authority**

136 (1) Procedure before the International Searching Authority shall be governed by the provisions of this Treaty, the Regulations, and the agreement which the International Bureau shall conclude, subject to this Treaty and the Regulations, with the said Authority.

137 (2)

138 (a) If the International Searching Authority considers

139 (i) that the international application relates to a subject matter

which the International Searching Authority is not required, under the Regulations, to search, and in the particular case decides not to search, or

(ii) that the description, the claims, or the drawings, fail to 140 comply with the prescribed requirements to such an extent that a meaningful search could not be carried out, the said Authority shall so declare and shall notify the applicant and the International Bureau that no international search report will be established.

(b) If any of the situations referred to in subparagraph (a) is 141 found to exist in connection with certain claims only, the international search report shall so indicate in respect of such claims, whereas, for the other claims, the said report shall be established as provided in Article 18.

(3) 142

(a) If the International Searching Authority considers that the 143 international application does not comply with the requirement of unity of invention as set forth in the Regulations, it shall invite the applicant to pay additional fees. The International Searching Authority shall establish the international search report on those parts of the international application which relate to the invention first mentioned in the claims (“main invention”) and, provided the required additional fees have been paid within the prescribed time limit, on those parts of the international application which relate to inventions in respect of which the said fees were paid.

(b) The national law of any designated State may provide that, 144 where the national Office of that State finds the invitation, referred to in subparagraph (a), of the International Searching Authority justified and where the applicant has not paid all additional fees, those parts of the international application which consequently have not been searched shall, as far as effects in that State are concerned, be considered withdrawn unless a spe-

cial fee is paid by the applicant to the national Office of that State.

Article 20 - Communication to Designated Offices

(1) 154

(a) The international application, together with the international search report (including any indication referred to in Article 17(2)(b)) or the declaration referred to in Article 17(2)(a), shall be communicated to each designated Office, as provided in the Regulations, unless the designated Office waives such requirement in its entirety or in part. 155

(b) The communication shall include the translation (as prescribed) of the said report or declaration. 156

(2) If the claims have been amended by virtue of Article 19(1), the communication shall either contain the full text of the claims both as filed and as amended or shall contain the full text of the claims as filed and specify the amendments, and shall include the statement, if any, referred to in Article 19(1). 157

(3) At the request of the designated Office or the applicant, the International Searching Authority shall send to the said Office or the applicant, respectively, copies of the documents cited in the international search report, as provided in the Regulations. 158

Article 21 - International Publication 159

(1) The International Bureau shall publish international applications. 160

(2) 161

(a) Subject to the exceptions provided for in subparagraph (b) and in Article 64(3), the international publication of the international application shall be effected promptly after the expiration of 18 months from the priority date of that application. 162

Article 18 - The International Search Report 145

(1) The international search report shall be established within the prescribed time limit and in the prescribed form. 146

(2) The international search report shall, as soon as it has been established, be transmitted by the International Searching Authority to the applicant and the International Bureau. 147

(3) The international search report or the declaration referred to in Article 17(2)(a) shall be translated as provided in the Regulations. The translations shall be prepared by or under the responsibility of the International Bureau. 148

Article 19 - Amendment of the Claims before the International Bureau 149

(1) The applicant shall, after having received the international search report, be entitled to one opportunity to amend the claims of the international application by filing amendments with the International Bureau within the prescribed time limit. He may, at the same time, file a brief statement, as provided in the Regulations, explaining the amendments and indicating any impact that such amendments might have on the description and the drawings. 150

(2) The amendments shall not go beyond the disclosure in the international application as filed. 151

(3) If the national law of any designated State permits amendments to go beyond the said disclosure, failure to comply with paragraph (2) shall have no consequence in that State. 152

(b) The applicant may ask the International Bureau to publish his international application any time before the expiration of the time limit referred to in subparagraph (a). The International Bureau shall proceed accordingly, as provided in the Regulations.

164 (3) The international search report or the declaration referred to in Article 17(2)(a) shall be published as prescribed in the Regulations.

165 (4) The language and form of the international publication and other details are governed by the Regulations.

166 (5) There shall be no international publication if the international application is withdrawn or is considered withdrawn before the technical preparations for publication have been completed.

167 (6) If the international application contains expressions or drawings which, in the opinion of the International Bureau, are contrary to morality or public order, or if, in its opinion, the international application contains disparaging statements as defined in the Regulations, it may omit such expressions, drawings, and statements, from its publications, indicating the place and number of words or drawings omitted, and furnishing, upon request, individual copies of the passages omitted.

168 **Article 22 - Copy, Translation, and Fee, to Designated Offices**

169 (1) The applicant shall furnish a copy of the international application (unless the communication provided for in Article 20 has already taken place) and a translation thereof (as prescribed), and pay the national fee (if any), to each designated Office not later than at the expiration of 20 months from the priority date. Where the national law of the designated State requires the indication of the name of and other prescribed data concerning

163 the inventor but allows that these indications be furnished at a time later than that of the filing of a national application, the applicant shall, unless they were contained in the request, furnish the said indications to the national Office of or acting for the State not later than at the expiration of 20 months from the priority date.

(2)* Where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established, the time limit for performing the acts referred to in paragraph (1) of this Article shall be the same as that provided for in paragraph (1).*

The text of Article 22(2) (“Notwithstanding the provisions of paragraph (1), where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established, the time limit for performing the acts referred to in paragraph (1) of this Article shall be two months from the date of the notification sent to the applicant of the said declaration.”) was modified by a decision taken by the Assembly of the PCT Union on February 3, 1984. In addition to that modification, the decision of the Assembly contains the following provisions: “(2) The modification enters into force on January 1, 1985. However, as long as that time limit is incompatible with the national law applied by the designated Office, a time limit of two months from the date of the notification sent to the applicant of the said declaration shall, during that transitory period, apply with respect to that designated Office, provided that such Office has made a notification to that effect to the International Bureau. ”(3) The notification referred to in paragraph (2) shall be addressed to the International Bureau before October 1, 1984. It shall be promptly published by the International Bureau in the Gazette, and it shall become effective on January 1, 1985. “(4) Any notification effected under paragraph (3) may be withdrawn at any time. Such withdrawal shall be promptly published by the In-

ternational Bureau in the Gazette, and it shall be effective two months after its publication in the Gazette or at any later date as indicated in the notice of withdrawal.”

(3) Any national law may, for performing the acts referred to in paragraph (1) or paragraph (2), fix time limits which expire later than the time limit provided for in those paragraphs.

Article 23 - Delaying of National Procedure

(1) No designated Office shall process or examine the international application prior to the expiration of the applicable time limit under Article 22.

(2) Notwithstanding the provisions of paragraph (1), any designated Office may, on the express request of the applicant, process or examine the international application at any time.

Article 24 - Possible Loss of Effect in Designated States

(1) Subject, in case (ii) below, to the provisions of Article 25, the effect of the international application provided for in Article 11(3) shall cease in any designated State with the same consequences as the withdrawal of any national application in that State:

(i) if the applicant withdraws his international application or the designation of that State;

(ii) if the international application is considered withdrawn by virtue of Article 12(3), Article 14(1)(b), Article 14(3)(a), or Article 14(4), or if the designation of that State is considered withdrawn by virtue of Article 14(3)(b);

(iii) if the applicant fails to perform the acts referred to in Article 22 within the applicable time limit.

(2) Notwithstanding the provisions of paragraph (1), any designated Office may maintain the effect provided for in Article 11(3) even where such effect is not required to be maintained by virtue of Article 25(2).

Article 25 - Review by Designated Offices

(1)

(a) Where the receiving Office has refused to accord an international filing date or has declared that the international application is considered withdrawn, or where the International Bureau has made a finding under Article 12(3), the International Bureau shall promptly send, at the request of the applicant, copies of any document in the file to any of the designated Offices named by the applicant.

(b) Where the receiving Office has declared that the designation of any given State is considered withdrawn, the International Bureau shall promptly send, at the request of the applicant, copies of any document in the file to the national Office of such State.

(c) The request under subparagraph (a) or subparagraph (b) shall be presented within the prescribed time limit.

(2)

(a) Subject to the provisions of subparagraph (b), each designated Office shall, provided that the national fee (if any) has been paid and the appropriate translation (as prescribed) has been furnished within the prescribed time limit, decide whether the refusal, declaration, or finding, referred to in paragraph (1) was justified under the provisions of this Treaty and the Regulations, and, if it finds that the refusal or declaration was the result of an error or omission on the part of the receiving Office or that the finding was the result of an error or omission on the part of the International Bureau, it shall, as far as effects

in the State of the designated Office are concerned, treat the international application as if such error or omission had not occurred.

189 (b) Where the record copy has reached the International Bureau after the expiration of the time limit prescribed under Article 12(3) on account of any error or omission on the part of the applicant, the provisions of subparagraph (a) shall apply only under the circumstances referred to in Article 48(2).

190 **Article 26 - Opportunity to Correct before Designated Offices**

191 No designated Office shall reject an international application on the grounds of non-compliance with the requirements of this Treaty and the Regulations without first giving the applicant the opportunity to correct the said application to the extent and according to the procedure provided by the national law for the same or comparable situations in respect of national applications.

192 **Article 27 - National Requirements**

193 (1) No national law shall require compliance with requirements relating to the form or contents of the international application different from or additional to those which are provided for in this Treaty and the Regulations.

194 (2) The provisions of paragraph (1) neither affect the application of the provisions of Article 7(2) nor preclude any national law from requiring, once the processing of the international application has started in the designated Office, the furnishing:

195 (i) when the applicant is a legal entity, of the name of an officer entitled to represent such legal entity,

(ii) of documents not part of the international application but which constitute proof of allegations or statements made in that application, including the confirmation of the international application by the signature of the applicant when that application, as filed, was signed by his representative or agent. 196

(3) Where the applicant, for the purposes of any designated State, is not qualified according to the national law of that State to file a national application because he is not the inventor, the international application may be rejected by the designated Office. 197

(4) Where the national law provides, in respect of the form or contents of national applications, for requirements which, from the view point of applicants, are more favorable than the requirements provided for by this Treaty and the Regulations in respect of international applications, the national Office, the courts and any other competent organs of or acting for the designated State may apply the former requirements, instead of the latter requirements, to international applications, except where the applicant insists that the requirements provided for by this Treaty and the Regulations be applied to his international application. 198

(5) Nothing in this Treaty and the Regulations is intended to be construed as prescribing anything that would limit the freedom of each Contracting State to prescribe such substantive conditions of patentability as it desires. In particular, any provision in this Treaty and the Regulations concerning the definition of prior art is exclusively for the purposes of the international procedure and, consequently, any Contracting State is free to apply, when determining the patentability of an invention claimed in an international application, the criteria of its national law in respect of prior art and other conditions of patentability not constituting requirements as to the form and contents of applications. 199

200 (6) The national law may require that the applicant furnish evidence in respect of any substantive condition of patentability prescribed by such law.

201 (7) Any receiving Office or, once the processing of the international application has started in the designated Office, that Office may apply the national law as far as it relates to any requirement that the applicant be represented by an agent having the right to represent applicants before the said Office and/or that the applicant have an address in the designated State for the purpose of receiving notifications.

202 (8) Nothing in this Treaty and the Regulations is intended to be construed as limiting the freedom of any Contracting State to apply measures deemed necessary for the preservation of its national security or to limit, for the protection of the general economic interests of that State, the right of its own residents or nationals to file international applications.

203 **Article 28 - Amendment of the Claims, the Description, and the Drawings, before Designated Offices**

204 (1) The applicant shall be given the opportunity to amend the claims, the description, and the drawings, before each designated Office within the prescribed time limit. No designated Office shall grant a patent, or refuse the grant of a patent, before such time limit has expired except with the express consent of the applicant.

205 (2) The amendments shall not go beyond the disclosure in the international application as filed unless the national law of the designated State permits them to go beyond the said disclosure.

206 (3) The amendments shall be in accordance with the national law of the designated State in all respects not provided for in this Treaty and the Regulations.

207 (4) Where the designated Office requires a translation of the international application, the amendments shall be in the language of the translation.

208 **Article 29 - Effects of the International Publication**

209 (1) As far as the protection of any rights of the applicant in a designated State is concerned, the effects, in that State, of the international publication of an international application shall, subject to the provisions of paragraph (2) to paragraph (4), be the same as those which the national law of the designated State provides for the compulsory national publication of unexamined national applications as such.

210 (2) If the language in which the international publication has been effected is different from the language in which publications under the national law are effected in the designated State, the said national law may provide that the effects provided for in paragraph (1) shall be applicable only from such time as:

211 (i) a translation into the latter language has been published as provided by the national law, or

212 (ii) a translation into the latter language has been made available to the public, by laying open for public inspection as provided by the national law, or

213 (iii) a translation into the latter language has been transmitted by the applicant to the actual or prospective unauthorized user of the invention claimed in the international application, or

214 (iv) both the acts described in subparagraph (i) and subparagraph (iii), or both the acts described in subparagraph (ii) and subparagraph (iii), have taken place.

215 (3) The national law of any designated State may provide that,

where the international publication has been effected, on the request of the applicant, before the expiration of 18 months from the priority date, the effects provided for in paragraph (1) shall be applicable only from the expiration of 18 months from the priority date.

216 (4) The national law of any designated State may provide that the effects provided for in paragraph (1) shall be applicable only from the date on which a copy of the international application as published under Article 21 has been received in the national Office of or acting for such State. The said Office shall publish the date of receipt in its gazette as soon as possible.

217 **Article 30 - Confidential Nature of the International Application**

218 (1) (a) Subject to the provisions of subparagraph (b), the International Bureau and the International Searching Authorities shall not allow access by any person or authority to the international application before the international publication of that application, unless requested or authorized by the applicant.

219 (b) The provisions of subparagraph (a) shall not apply to any transmittal to the competent International Searching Authority, to transmittals provided for under Article 13, and to communications provided for under Article 20.

220 (2)

221 (a) No national Office shall allow access to the international application by third parties, unless requested or authorized by the applicant, before the earliest of the following dates:

222 (i) date of the international publication of the international application,

223 (ii) date of the receipt of the communication of the international

application under Article 20,

(iii) date of the receipt of a copy of the international application under Article 22. 224

(b) The provisions of subparagraph (a) shall not prevent any national Office from informing third parties that it has been designated, or from publishing that fact. Such information or publication may, however, contain only the following data: identification of the receiving Office, name of the applicant, international filing date, international application number, and title of the invention. 225

(c) The provisions of subparagraph (a) shall not prevent any designated Office from allowing access to the international application for the purposes of the judicial authorities. 226

(3) The provisions of paragraph (2)(a) shall apply to any receiving Office except as far as transmittals provided for under Article 12(1) are concerned. 227

(4) For the purposes of this Article, the term “access” covers any means by which third parties may acquire cognizance, including individual communication and general publication, provided, however, that no national Office shall generally publish an international application or its translation before the international publication or, if international publication has not taken place by the expiration of 20 months from the priority date, before the expiration of 20 months from the said priority date. 228

Chapter II - International Preliminary Examination 229

Article 31 - Demand for International Preliminary Examination 230

(1) On the demand of the applicant, his international applica- 231

tion shall be the subject of an international preliminary examination as provided in the following provisions and the Regulations.

(2)

(a) Any applicant who is a resident or national, as defined in the Regulations, of a Contracting State bound by Chapter II, and whose international application has been filed with the receiving Office of or acting for such State, may make a demand for international preliminary examination.

(b) The Assembly may decide to allow persons entitled to file international applications to make a demand for international preliminary examination even if they are residents or nationals of a State not party to this Treaty or not bound by Chapter II.

(3) The demand for international preliminary examination shall be made separately from the international application. The demand shall contain the prescribed particulars and shall be in the prescribed language and form.

(4)

(a) The demand shall indicate the Contracting State or States in which the applicant intends to use the results of the international preliminary examination (“elected States”). Additional Contracting States may be elected later. Election may relate only to Contracting States already designated under Article 4.

(b) Applicants referred to in paragraph (2)(a) may elect any Contracting State bound by Chapter II. Applicants referred to in paragraph (2)(b) may elect only such Contracting States bound by Chapter II as have declared that they are prepared to be elected by such applicants.

(5) The demand shall be subject to the payment of the prescribed fees within the prescribed time limit.

(6) (a) The demand shall be submitted to the competent International Preliminary Examining Authority referred to in Article 32. (b) Any later election shall be submitted to the International Bureau.

(7) Each elected Office shall be notified of its election.

Article 32 - The International Preliminary Examining Authority

(1) International preliminary examination shall be carried out by the International Preliminary Examining Authority.

(2) In the case of demands referred to in Article 31(2)(a), the receiving Office, and, in the case of demands referred to in Article 31(2)(b), the Assembly, shall, in accordance with the applicable agreement between the interested International Preliminary Examining Authority or Authorities and the International Bureau, specify the International Preliminary Examining Authority or Authorities competent for the preliminary examination.

(3) The provisions of Article 16(3) shall apply, mutatis mutandis, in respect of International Preliminary Examining Authorities.

Article 33 - The International Preliminary Examination

(1) The objective of the international preliminary examination is to formulate a preliminary and non-binding opinion on the questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), and to be industrially applicable.

(2) For the purposes of the international preliminary examination, a claimed invention shall be considered novel if it is not anticipated by the prior art as defined in the Regulations.

- 249 (3) For the purposes of the international preliminary examination, a claimed invention shall be considered to involve an inventive step if, having regard to the prior art as defined in the Regulations, it is not, at the prescribed relevant date, obvious to a person skilled in the art.
- 250 (4) For the purposes of the international preliminary examination, a claimed invention shall be considered industrially applicable if, according to its nature, it can be made or used (in the technological sense) in any kind of industry. "Industry" shall be understood in its broadest sense, as in the Paris Convention for the Protection of Industrial Property.
- 251 (5) The criteria described above merely serve the purposes of international preliminary examination. Any Contracting State may apply additional or different criteria for the purpose of deciding whether, in that State, the claimed invention is patentable or not.
- 252 (6) The international preliminary examination shall take into consideration all the documents cited in the international search report. It may take into consideration any additional documents considered to be relevant in the particular case.
- 253 **Article 34 - Procedure before the International Preliminary Examining Authority**
- 254 (1) Procedure before the International Preliminary Examining Authority shall be governed by the provisions of this Treaty, the Regulations, and the agreement which the International Bureau shall conclude, subject to this Treaty and the Regulations, with the said Authority.
- 255 (2)
- 256 (a) The applicant shall have a right to communicate orally and in writing with the International Preliminary Examining Authority.
- (b) The applicant shall have a right to amend the claims, the description, and the drawings, in the prescribed manner and within the prescribed time limit, before the international preliminary examination report is established. The amendment shall not go beyond the disclosure in the international application as filed.
- (c) The applicant shall receive at least one written opinion from the International Preliminary Examining Authority unless such Authority considers that all of the following conditions are fulfilled:
- (i) the invention satisfies the criteria set forth in Article 33(1),
- (ii) the international application complies with the requirements of this Treaty and the Regulations in so far as checked by that Authority,
- (iii) no observations are intended to be made under Article 35(2), last sentence.
- (d) The applicant may respond to the written opinion.
- (3)
- (a) If the International Preliminary Examining Authority considers that the international application does not comply with the requirement of unity of invention as set forth in the Regulations, it may invite the applicant, at his option, to restrict the claims so as to comply with the requirement or to pay additional fees.
- (b) The national law of any elected State may provide that, where the applicant chooses to restrict the claims under subparagraph (a), those parts of the international application which, as a consequence of the restriction, are not to be the subject of international preliminary examination shall, as far as effects in that State are concerned, be considered withdrawn

unless a special fee is paid by the applicant to the national Office of that State.

266 (c) If the applicant does not comply with the invitation referred to in subparagraph (a) within the prescribed time limit, the International Preliminary Examining Authority shall establish an international preliminary examination report on those parts of the international application which relate to what appears to be the main invention and shall indicate the relevant facts in the said report. The national law of any elected State may provide that, where its national Office finds the invitation of the International Preliminary Examining Authority justified, those parts of the international application which do not relate to the main invention shall, as far as effects in that State are concerned, be considered withdrawn unless a special fee is paid by the applicant to that Office.

267 (4) (a) If the International Preliminary Examining Authority considers

268 (i) that the international application relates to a subject matter on which the International Preliminary Examining Authority is not required, under the Regulations, to carry out an international preliminary examination, and in the particular case decides not to carry out such examination, or

269 (ii) that the description, the claims, or the drawings, are so unclear, or the claims are so inadequately supported by the description, that no meaningful opinion can be formed on the novelty, inventive step (non-obviousness), or industrial applicability, of the claimed invention, the said Authority shall not go into the questions referred to in Article 33(1) and shall inform the applicant of this opinion and the reasons therefor.

270 (b) If any of the situations referred to in subparagraph (a) is found to exist in, or in connection with, certain claims only, the provisions of that subparagraph shall apply only to the said claims.

Article 35 - The International Preliminary Examination Report 271

(1) The international preliminary examination report shall be established within the prescribed time limit and in the prescribed form. 272

(2) The international preliminary examination report shall not contain any statement on the question whether the claimed invention is or seems to be patentable or unpatentable according to any national law. It shall state, subject to the provisions of paragraph (3), in relation to each claim, whether the claim appears to satisfy the criteria of novelty, inventive step (non-obviousness), and industrial applicability, as defined for the purposes of the international preliminary examination in Article 33(1) to Article 33(4). The statement shall be accompanied by the citation of the documents believed to support the stated conclusion with such explanations as the circumstances of the case may require. The statement shall also be accompanied by such other observations as the Regulations provide for. 273

(3) 274

(a) If, at the time of establishing the international preliminary examination report, the International Preliminary Examining Authority considers that any of the situations referred to in Article 34(4)(a) exists, that report shall state this opinion and the reasons therefor. It shall not contain any statement as provided in paragraph (2). 275

(b) If a situation under Article 34(4)(b) is found to exist, the international preliminary examination report shall, in relation to the claims in question, contain the statement as provided in subparagraph (a), whereas, in relation to the other claims, it shall contain the statement as provided in paragraph (2). 276

**Article 36 - Transmittal, Translation, and
Communication, of the International Preliminary
Examination Report**

278 (1) The international preliminary examination report, together
with the prescribed annexes, shall be transmitted to the appli-
cant and to the International Bureau.

279 (2)

280 (a) The international preliminary examination report and its
annexes shall be translated into the prescribed languages.

281 (b) Any translation of the said report shall be prepared by or
under the responsibility of the International Bureau, whereas
any translation of the said annexes shall be prepared by the
applicant.

282 (3)

283 (a) The international preliminary examination report, together
with its translation (as prescribed) and its annexes (in the orig-
inal language), shall be communicated by the International Bu-
reau to each elected Office.

284 (b) The prescribed translation of the annexes shall be trans-
mitted within the prescribed time limit by the applicant to the
elected Offices.

285 (4) The provisions of Article 20(3) shall apply, mutatis mutan-
dis, to copies of any document which is cited in the international
preliminary examination report and which was not cited in the
international search report.

286 **Article 37 - Withdrawal of Demand or Election**

287 (1) The applicant may withdraw any or all elections.

288 (2) If the election of all elected States is withdrawn, the demand
shall be considered withdrawn.

277 (3)

(a) Any withdrawal shall be notified to the International Bu-
reau.

(b) The elected Offices concerned and the International Prelim-
inary Examining Authority concerned shall be notified accord-
ingly by the International Bureau.

(4)

(a) Subject to the provisions of subparagraph (b), withdrawal of
the demand or of the election of a Contracting State shall, unless
the national law of that State provides otherwise, be considered
to be withdrawal of the international application as far as that
State is concerned.

(b) Withdrawal of the demand or of the election shall not be
considered to be withdrawal of the international application if
such withdrawal is effected prior to the expiration of the ap-
plicable time limit under Article 22; however, any Contracting
State may provide in its national law that the aforesaid shall ap-
ply only if its national Office has received, within the said time
limit, a copy of the international application, together with a
translation (as prescribed), and the national fee.

**Article 38 - Confidential Nature of the International
Preliminary Examination**

(1) Neither the International Bureau nor the International Pre-
liminary Examining Authority shall, unless requested or autho-
rized by the applicant, allow access within the meaning, and
with the proviso, of Article 30(4) to the file of the international
preliminary examination by any person or authority at any time,
except by the elected Offices once the international preliminary
examination report has been established.

(2) Subject to the provisions of paragraph (1) and Article 36(1)

and Article 36(3) and Article 37(3)(b), neither the International Bureau nor the International Preliminary Examining Authority shall, unless requested or authorized by the applicant, give information on the issuance or non issuance of an international preliminary examination report and on the withdrawal or non-withdrawal of the demand or of any election.

298 **Article 39 - Copy, Translation, and Fee, to Elected
Offices**

299 (1)

300 (a)* If the election of any Contracting State has been effected prior to the expiration of the 19th month from the priority date, the provisions of Article 22 shall not apply to such State and the applicant shall furnish a copy of the international application (unless the communication under Article 20 has already taken place) and a translation thereof (as prescribed), and pay the national fee (if any), to each elected Office not later than at the expiration of 30 months from the priority date. * The text of Article 39(1)(a) (“If the election of any Contracting State has been effected prior to the expiration of the 19th month from the priority date, the provisions of Article 22 shall not apply to such State and the applicant shall furnish a copy of the international application (unless the communication under Article 20 has already taken place) and a translation thereof (as prescribed), and pay the national fee (if any), to each elected Office not later than at the expiration of 25 months from the priority date.”) was modified by a decision taken by the Assembly of the PCT Union on February 3, 1984. In addition to that modification, the decision of the Assembly contains the following provisions: “(2) The modification enters into force on January 1, 1985. However, as long as the said time limit of 30 months is incompatible in all cases with the national law applied by the elected Office, a time limit of 25 months from the priority date

shall, during that transitory period, apply with respect to that elected Office, provided that such Office has made a notification to that effect to the International Bureau. ”(3) The notification referred to in paragraph (2) shall be addressed to the International Bureau before October 1, 1984. It shall be promptly published by the International Bureau in the Gazette, and it shall become effective on January 1, 1985. “(4) Any notification effected under paragraph (3) may be withdrawn at any time. Such withdrawal shall be promptly published by the International Bureau in the Gazette, and it shall be effective two months after its publication in the Gazette or at any later date as indicated in the notice of withdrawal.”

(b) Any national law may, for performing the acts referred to in subparagraph (a), fix time limits which expire later than the time limit provided for in that subparagraph. 301

(2) The effect provided for in Article 11(3) shall cease in the elected State with the same consequences as the withdrawal of any national application in that State if the applicant fails to perform the acts referred to in paragraph (1)(a) within the time limit applicable under paragraph (1)(a) or paragraph (1)(b). 302

(3) Any elected Office may maintain the effect provided for in Article 11(3) even where the applicant does not comply with the requirements provided for in paragraph (1)(a) or paragraph (1)(b). 303

**Article 40 - Delaying of National Examination and
Other Processing** 304

(1) If the election of any Contracting State has been effected prior to the expiration of the 19th month from the priority date, the provisions of Article 23 shall not apply to such State and the national Office of or acting for that State shall not proceed, 305

subject to the provisions of paragraph (2), to the examination and other processing of the international application prior to the expiration of the applicable time limit under Article 39.

306 (2) Notwithstanding the provisions of paragraph (1), any elected Office may, on the express request of the applicant, proceed to the examination and other processing of the international application at any time.

307 **Article 41 - Amendment of the Claims, the Description, and the Drawings, before Elected Offices**

308 (1) The applicant shall be given the opportunity to amend the claims, the description, and the drawings, before each elected Office within the prescribed time limit. No elected Office shall grant a patent, or refuse the grant of a patent, before such time limit has expired, except with the express consent of the applicant.

309 (2) The amendments shall not go beyond the disclosure in the international application as filed, unless the national law of the elected State permits them to go beyond the said disclosure.

310 (3) The amendments shall be in accordance with the national law of the elected State in all respects not provided for in this Treaty and the Regulations.

311 (4) Where an elected Office requires a translation of the international application, the amendments shall be in the language of the translation.

312 **Article 42 - Results of National Examination in Elected Offices**

313 No elected Office receiving the international preliminary examination report may require that the applicant furnish copies, or

information on the contents, of any papers connected with the examination relating to the same international application in any other elected Office.

Chapter III - Common Provisions 314

Article 43 - Seeking Certain Kinds of Protection 315

316 In respect of any designated or elected State whose law provides for the grant of inventors' certificates, utility certificates, utility models, patents or certificates of addition, inventors' certificates of addition, or utility certificates of addition, the applicant may indicate, as prescribed in the Regulations, that his international application is for the grant, as far as that State is concerned, of an inventor's certificate, a utility certificate, or a utility model, rather than a patent, or that it is for the grant of a patent or certificate of addition, an inventor's certificate of addition, or a utility certificate of addition, and the ensuing effect shall be governed by the applicant's choice. For the purposes of this Article and any Rule thereunder, Article 2(ii) shall not apply.

Article 44 - Seeking Two Kinds of Protection 317

318 In respect of any designated or elected State whose law permits an application, while being for the grant of a patent or one of the other kinds of protection referred to in Article 43, to be also for the grant of another of the said kinds of protection, the applicant may indicate, as prescribed in the Regulations, the two kinds of protection he is seeking, and the ensuing effect shall be governed by the applicant's indications. For the purposes of this Article, Article 2(ii) shall not apply.

Article 45 - Regional Patent Treaties

320 (1) Any treaty providing for the grant of regional patents (“re-
gional patent treaty”), and giving to all persons who, according
to Article 9, are entitled to file international applications the
right to file applications for such patents, may provide that in-
ternational applications designating or electing a State party to
both the regional patent treaty and the present Treaty may be
filed as applications for such patents.

321 (2) The national law of the said designated or elected State may
provide that any designation or election of such State in the
international application shall have the effect of an indication
of the wish to obtain a regional patent under the regional patent
treaty.

322 **Article 46 - Incorrect Translation of the International
Application**

323 If, because of an incorrect translation of the international ap-
plication, the scope of any patent granted on that application
exceeds the scope of the international application in its original
language, the competent authorities of the Contracting State
concerned may accordingly and retroactively limit the scope of
the patent, and declare it null and void to the extent that its
scope has exceeded the scope of the international application in
its original language.

324 **Article 47 - Time Limits**

325 (1) The details for computing time limits referred to in this
Treaty are governed by the Regulations.

326 (2)

327 (a) All time limits fixed in Chapters I and II of this Treaty may,

319 outside any revision under Article 60, be modified by a decision
of the Contracting States.

(b) Such decisions shall be made in the Assembly or through 328
voting by correspondence and must be unanimous.

(c) The details of the procedure are governed by the Regula- 329
tions.

Article 48 - Delay in Meeting Certain Time Limits 330

(1) Where any time limit fixed in this Treaty or the Regula- 331
tions is not met because of interruption in the mail service or
unavoidable loss or delay in the mail, the time limit shall be
deemed to be met in the cases and subject to the proof and
other conditions prescribed in the Regulations.

(2) 332

(a) Any Contracting State shall, as far as that State is con- 333
cerned, excuse, for reasons admitted under its national law, any
delay in meeting any time limit.

(b) Any Contracting State may, as far as that State is concerned, 334
excuse, for reasons other than those referred to in subparagraph
(a), any delay in meeting any time limit.

Article 49 - Right to Practice before International 335
Authorities

Any attorney, patent agent, or other person, having the right to 336
practice before the national Office with which the international
application was filed, shall be entitled to practice before the In-
ternational Bureau and the competent International Searching
Authority and competent International Preliminary Examining
Authority in respect of that application.

Chapter IV - Technical Services

338 Article 50 - Patent Information Services

339 (1) The International Bureau may furnish services by providing technical and any other pertinent information available to it on the basis of published documents, primarily patents and published applications (referred to in this Article as “the information services”).

340 (2) The International Bureau may provide these information services either directly or through one or more International Searching Authorities or other national or international specialized institutions, with which the International Bureau may reach agreement.

341 (3) The information services shall be operated in a way particularly facilitating the acquisition by Contracting States which are developing countries of technical knowledge and technology, including available published know-how.

342 (4) The information services shall be available to Governments of Contracting States and their nationals and residents. The Assembly may decide to make these services available also to others.

343 (5)

344 (a) Any service to Governments of Contracting States shall be furnished at cost, provided that, when the Government is that of a Contracting State which is a developing country, the service shall be furnished below cost if the difference can be covered from profit made on services furnished to others than Governments of Contracting States or from the sources referred to in Article 51(4).

345 (b) The cost referred to in subparagraph (a) is to be understood as cost over and above costs normally incident to the perfor-

337 mance of the services of a national Office or the obligations of an International Searching Authority.

(6) The details concerning the implementation of the provisions of this Article shall be governed by decisions of the Assembly and, within the limits to be fixed by the Assembly, such working groups as the Assembly may set up for that purpose. 346

(7) The Assembly shall, when it considers it necessary, recommend methods of providing financing supplementary to those referred to in paragraph (5). 347

Article 51 - Technical Assistance 348

(1) The Assembly shall establish a Committee for Technical Assistance (referred to in this Article as “the Committee”). 349

(2) 350

(a) The members of the Committee shall be elected among the Contracting States, with due regard to the representation of developing countries. 351

(b) The Director General shall, on his own initiative or at the request of the Committee, invite representatives of intergovernmental organizations concerned with technical assistance to developing countries to participate in the work of the Committee. 352

(3) 353

(a) The task of the Committee shall be to organize and supervise technical assistance for Contracting States which are developing countries in developing their patent systems individually or on a regional basis. 354

(b) The technical assistance shall comprise, among other things, the training of specialists, the loaning of experts, and the supply of equipment both for demonstration and for operational purposes. 355

- 356 (4) The International Bureau shall seek to enter into agree- 367
ments, on the one hand, with international financing organi-
zations and intergovernmental organizations, particularly the
United Nations, the agencies of the United Nations, and the
Specialized Agencies connected with the United Nations con- 368
cerned with technical assistance, and, on the other hand, with
the Governments of the States receiving the technical assistance,
for the financing of projects pursuant to this Article. 369
- 357 (5) The details concerning the implementation of the provisions
of this Article shall be governed by decisions of the Assembly
and, within the limits to be fixed by the Assembly, such working
groups as the Assembly may set up for that purpose. 370
- 358 **Article 52 - Relations with Other Provisions of the
Treaty**
- 359 Nothing in this Chapter shall affect the financial provisions con-
tained in any other Chapter of this Treaty. Such provisions are
not applicable to the present Chapter or to its implementa-
tion. 371
- 360 **Chapter V - Administrative Provisions**
- 361 **Article 53 - Assembly**
- 362 (1)
- 363 (a) The Assembly shall, subject to Article 57(8), consist of the
Contracting States. 372
- 364 (b) The Government of each Contracting State shall be repre-
sented by one delegate, who may be assisted by alternate dele-
gates, advisors, and experts. 373
- 365 (2)
- 366 (a) The Assembly shall: 374
- (i) deal with all matters concerning the maintenance and 375
development of the Union and the implementation of this
Treaty;
- (ii) perform such tasks as are specifically assigned to it under 376
other provisions of this Treaty;
- (iii) give directions to the International Bureau concerning the 377
preparation for revision conferences;
- (iv) review and approve the reports and activities of the Direc-
tor General concerning the Union, and give him all necessary
instructions concerning matters within the competence of the
Union;
- (v) review and approve the reports and activities of the Ex-
ecutive Committee established under paragraph (9), and give
instructions to such Committee;
- (vi) determine the program and adopt the triennial* budget of 378
the Union, and approve its final accounts;* [Editor's Note: Since
1980, the budget of the Union is biennial.] 379
- (vii) adopt the financial regulations of the Union; 380
- (viii) establish such committees and working groups as it deems 381
appropriate to achieve the objectives of the Union;
- (ix) determine which States other than Contracting States and, 382
subject to the provisions of paragraph (8), which intergovern-
mental and international non-governmental organizations shall
be admitted to its meetings as observers;
- (x) take any other appropriate action designed to further the 383
objectives of the Union and perform such other functions as are
appropriate under this Treaty. 384
- (b) With respect to matters which are of interest also to other 385
Unions administered by the Organization, the Assembly shall
make its decisions after having heard the advice of the Coordi-
nation Committee of the Organization. 386

- 378 (3) A delegate may represent, and vote in the name of, one State only.
- 379 (4) Each Contracting State shall have one vote.
- 380 (5)
- 381 (a) One-half of the Contracting States shall constitute a quorum.
- 382 (b) In the absence of the quorum, the Assembly may make decisions but, with the exception of decisions concerning its own procedure, all such decisions shall take effect only if the quorum and the required majority are attained through voting by correspondence as provided in the Regulations.
- 383 (6)
- 384 (a) Subject to the provisions of Article 47(2)(b), Article 58(2)(b), Article 58(3) and Article 61(2)(b), the decisions of the Assembly shall require two-thirds of the votes cast.
- 385 (b) Abstentions shall not be considered as votes.
- 386 (7) In connection with matters of exclusive interest to States bound by Chapter II, any reference to Contracting States in paragraph (4), paragraph (5), and paragraph (6), shall be considered as applying only to States bound by Chapter II.
- 387 (8) Any intergovernmental organization appointed as International Searching or Preliminary Examining Authority shall be admitted as observer to the Assembly.
- 388 (9) When the number of Contracting States exceeds forty, the Assembly shall establish an Executive Committee. Any reference to the Executive Committee in this Treaty and the Regulations shall be construed as references to such Committee once it has been established.
- 389 (10) Until the Executive Committee has been established, the Assembly shall approve, within the limits of the program and triennial budget, the annual programs and budgets prepared by the Director General.** [Editor's Note: Since 1980, the program and budget of the Union are biennial.]
- (11)
- (a) The Assembly shall meet in every second calendar year in ordinary session upon convocation by the Director General and, in the absence of exceptional circumstances, during the same period and at the same place as the General Assembly of the Organization.
- (b) The Assembly shall meet in extraordinary session upon convocation by the Director General, at the request of the Executive Committee, or at the request of one-fourth of the Contracting States.
- (12) The Assembly shall adopt its own rules of procedure.

Article 54 - Executive Committee

- (1) When the Assembly has established an Executive Committee, that Committee shall be subject to the provisions set forth hereinafter.
- (2) (a) The Executive Committee shall, subject to Article 57(8), consist of States elected by the Assembly from among States members of the Assembly.
- (b) The Government of each State member of the Executive Committee shall be represented by one delegate, who may be assisted by alternate delegates, advisors, and experts.
- (3) The number of States members of the Executive Committee shall correspond to one-fourth of the number of States members of the Assembly. In establishing the number of seats to be filled, remainders after division by four shall be disregarded.
- (4) In electing the members of the Executive Committee, the Assembly shall have due regard to an equitable geographical distribution.

- 400 (5) 413 (7)
- 401 (a) Each member of the Executive Committee shall serve from the close of the session of the Assembly which elected it to the close of the next ordinary session of the Assembly. 414
- 402 (b) Members of the Executive Committee may be re-elected but only up to a maximum of two-thirds of such members.
- 403 (c) The Assembly shall establish the details of the rules governing the election and possible re-election of the members of the Executive Committee. 415
- 404 (6) (8) 416
- 405 (a) The Executive Committee shall: 417
- 406 (i) prepare the draft agenda of the Assembly;
- 407 (ii) submit proposals to the Assembly in respect of the draft program and biennial budget of the Union prepared by the Director General; 418
- 408 (iii) [deleted] (c) Decisions shall be made by a simple majority of the votes cast. 419
- 409 (iv) submit, with appropriate comments, to the Assembly the periodical reports of the Director General and the yearly audit reports on the accounts; (d) Abstentions shall not be considered as votes. 420
- 410 (v) take all necessary measures to ensure the execution of the program of the Union by the Director General, in accordance with the decisions of the Assembly and having regard to circumstances arising between two ordinary sessions of the Assembly; (e) A delegate may represent, and vote in the name of, one State only. 421
- 411 (vi) perform such other functions as are allocated to it under this Treaty. (9) Contracting States not members of the Executive Committee shall be admitted to its meetings as observers, as well as any intergovernmental organization appointed as International Searching or Preliminary Examining Authority. 422
- 412 (b) With respect to matters which are of interest also to other Unions administered by the Organization, the Executive Committee shall make its decisions after having heard the advice of the Coordination Committee of the Organization. (10) The Executive Committee shall adopt its own rules of procedure. 423
- Article 55 - International Bureau** 424
- (1) Administrative tasks concerning the Union shall be performed by the International Bureau. 425
- (2) The International Bureau shall provide the secretariat of the 426

various organs of the Union.

436

Article 56 - Committee for Technical Cooperation

427 (3) The Director General shall be the chief executive of the Union and shall represent the Union.

428 (4) The International Bureau shall publish a Gazette and other publications provided for by the Regulations or required by the Assembly.

429 (5) The Regulations shall specify the services that national Offices shall perform in order to assist the International Bureau and the International Searching and Preliminary Examining Authorities in carrying out their tasks under this Treaty.

430 (6) The Director General and any staff member designated by him shall participate, without the right to vote, in all meetings of the Assembly, the Executive Committee and any other committee or working group established under this Treaty or the Regulations. The Director General, or a staff member designated by him, shall be ex officio secretary of these bodies.

431 (7)

432 (a) The International Bureau shall, in accordance with the directions of the Assembly and in cooperation with the Executive Committee, make the preparations for the revision conferences.

433 (b) The International Bureau may consult with intergovernmental and international non-governmental organizations concerning preparations for revision conferences.

434 (c) The Director General and persons designated by him shall take part, without the right to vote, in the discussions at revision conferences.

435 (8) The International Bureau shall carry out any other tasks as signed to it.

(1) The Assembly shall establish a Committee for Technical Cooperation (referred to in this Article as “the Committee”). 437

(2) 438

(a) The Assembly shall determine the composition of the Committee and appoint its members, with due regard to an equitable representation of developing countries. 439

(b) The International Searching and Preliminary Examining Authorities shall be ex officio members of the Committee. In the case where such an Authority is the national Office of a Contracting State, that State shall not be additionally represented on the Committee. 440

(c) If the number of Contracting States so allows, the total number of members of the Committee shall be more than double the number of ex officio members. 441

(d) The Director General shall, on his own initiative or at the request of the Committee, invite representatives of interested organizations to participate in discussions of interest to them. 442

(3) The aim of the Committee shall be to contribute, by advice and recommendations: 443

(i) to the constant improvement of the services provided for under this Treaty, 444

(ii) to the securing, so long as there are several International Searching Authorities and several International Preliminary Examining Authorities, of the maximum degree of uniformity in their documentation and working methods and the maximum degree of uniformly high quality in their reports, and 445

(iii) on the initiative of the Assembly or the Executive Committee, to the solution of the technical problems specifically in- 446

involved in the establishment of a single International Searching Authority.

447 (4) Any Contracting State and any interested international organization may approach the Committee in writing on questions which fall within the competence of the Committee.

448 (5) The Committee may address its advice and recommendations to the Director General or, through him, to the Assembly, the Executive Committee, all or some of the International Searching and Preliminary Examining Authorities, and all or some of the receiving Offices.

449 (6)

450 (a) In any case, the Director General shall transmit to the Executive Committee the texts of all the advice and recommendations of the Committee. He may comment on such texts.

451 (b) The Executive Committee may express its views on any advice, recommendation, or other activity of the Committee, and may invite the Committee to study and report on questions falling within its competence. The Executive Committee may submit to the Assembly, with appropriate comments, the advice, recommendations and report of the Committee.

452 (7) Until the Executive Committee has been established, references in paragraph (6) to the Executive Committee shall be construed as references to the Assembly.

453 (8) The details of the procedure of the Committee shall be governed by the decisions of the Assembly.

454 **Article 57 - Finances**

455 (1)

456 (a) The Union shall have a budget.

457 (b) The budget of the Union shall include the income and ex-

penses proper to the Union and its contribution to the budget of expenses common to the Unions administered by the Organization.

(c) Expenses not attributable exclusively to the Union but also 458 to one or more other Unions administered by the Organization shall be considered as expenses common to the Unions. The share of the Union in such common expenses shall be in proportion to the interest the Union has in them.

(2) The budget of the Union shall be established with due regard 459 to the requirements of coordination with the budgets of the other Unions administered by the Organization.

(3) Subject to the provisions of paragraph (5), the budget of the 460 Union shall be financed from the following sources:

(i) fees and charges due for services rendered by the International 461 Bureau in relation to the Union;

(ii) sale of, or royalties on, the publications of the International 462 Bureau concerning the Union;

(iii) gifts, bequests, and subventions; 463

(iv) rents, interests, and other miscellaneous income. 464

(4) The amounts of fees and charges due to the International 465 Bureau and the prices of its publications shall be so fixed that they should, under normal circumstances, be sufficient to cover all the expenses of the International Bureau connected with the administration of this Treaty.

(5) 466

(a) Should any financial year close with a deficit, the Contracting 467 States shall, subject to the provisions of subparagraph (b) and subparagraph (c), pay contributions to cover such deficit.

(b) The amount of the contribution of each Contracting State 468 shall be decided by the Assembly with due regard to the number

of international applications which has emanated from each of them in the relevant year.

469 (c) If other means of provisionally covering any deficit or any part thereof are secured, the Assembly may decide that such deficit be carried forward and that the Contracting States should not be asked to pay contributions.

470 (d) If the financial situation of the Union so permits, the Assembly may decide that any contributions paid under subparagraph (a) be reimbursed to the Contracting States which have paid them.

471 (e) A Contracting State which has not paid, within two years of the due date as established by the Assembly, its contribution under subparagraph (b) may not exercise its right to vote in any of the organs of the Union. However, any organ of the Union may allow such a State to continue to exercise its right to vote in that organ so long as it is satisfied that the delay in payment is due to exceptional and unavoidable circumstances.

472 (6) If the budget is not adopted before the beginning of a new financial period, it shall be at the same level as the budget of the previous year, as provided in the financial regulations.

473 (7)

474 (a) The Union shall have a working capital fund which shall be constituted by a single payment made by each Contracting State. If the fund becomes insufficient, the Assembly shall arrange to increase it. If part of the fund is no longer needed, it shall be reimbursed.

475 (b) The amount of the initial payment of each Contracting State to the said fund or of its participation in the increase thereof shall be decided by the Assembly on the basis of principles similar to those provided for under paragraph (5)(b).

476 (c) The terms of payment shall be fixed by the Assembly on the

proposal of the Director General and after it has heard the advice of the Coordination Committee of the Organization.

(d) Any reimbursement shall be proportionate to the amounts paid by each Contracting State, taking into account the dates at which they were paid. 477

(8) 478

(a) In the headquarters agreement concluded with the State on the territory of which the Organization has its headquarters, it shall be provided that, whenever the working capital fund is insufficient, such State shall grant advances. The amount of these advances and the conditions on which they are granted shall be the subject of separate agreements, in each case, between such State and the Organization. As long as it remains under the obligation to grant advances, such State shall have an ex officio seat in the Assembly and on the Executive Committee. 479

(b) The State referred to in subparagraph (a) and the Organization shall each have the right to denounce the obligation to grant advances, by written notification. Denunciation shall take effect three years after the end of the year in which it has been notified. 480

(9) The auditing of the accounts shall be effected by one or more of the Contracting States or by external auditors, as provided in the financial regulations. They shall be designated, with their agreement, by the Assembly. 481

Article 58 - Regulations 482

(1) The Regulations annexed to this Treaty provide Rules: 483

(i) concerning matters in respect of which this Treaty expressly refers to the Regulations or expressly provides that they are or shall be prescribed, 484

(ii) concerning any administrative requirements, matters, or 485

| | | | |
|-----|--|---|-----|
| | procedures, | Chapter VI - Disputes | 498 |
| 486 | (iii) concerning any details useful in the implementation of the provisions of this Treaty. | Article 59 - Disputes | 499 |
| 487 | (2) | | |
| 488 | (a) The Assembly may amend the Regulations. | Subject to Article 64(5), any dispute between two or more Contracting States concerning the interpretation or application of this Treaty or the Regulations, not settled by negotiation, may, by any one of the States concerned, be brought before the International Court of Justice by application in conformity with the Statute of the Court, unless the States concerned agree on some other method of settlement. The Contracting State bringing the dispute before the Court shall inform the International Bureau; the International Bureau shall bring the matter to the attention of the other Contracting States. | 500 |
| 489 | (b) Subject to the provisions of paragraph (3), amendments shall require three-fourths of the votes cast. | | |
| 490 | (3) | | |
| 491 | (a) The Regulations specify the Rules which may be amended | | |
| 492 | (i) only by unanimous consent, or | | |
| 493 | (ii) only if none of the Contracting States whose national Office acts as an International Searching or Preliminary Examining Authority dissents, and, where such Authority is an intergovernmental organization, if the Contracting State member of that organization authorized for that purpose by the other member States within the competent body of such organization does not dissent. | | |
| 494 | (b) Exclusion, for the future, of any such Rules from the applicable requirement shall require the fulfillment of the conditions referred to in subparagraph (a)(i) or subparagraph (a)(ii), respectively. | Chapter VII - Revision and Amendment | 501 |
| 495 | (c) Inclusion, for the future, of any Rule in one or the other of the requirements referred to in subparagraph (a) shall require unanimous consent. | Article 60 - Revision of the Treaty | 502 |
| 496 | (4) The Regulations provide for the establishment, under the control of the Assembly, of Administrative Instructions by the Director General. | (1) This Treaty may be revised from time to time by a special conference of the Contracting States. | 503 |
| 497 | (5) In the case of conflict between the provisions of the Treaty and those of the Regulations, the provisions of the Treaty shall prevail. | (2) The convocation of any revision conference shall be decided by the Assembly. | 504 |
| | | (3) Any intergovernmental organization appointed as International Searching or Preliminary Examining Authority shall be admitted as observer to any revision conference. | 505 |
| | | (4) Article 53(5), Article 53(9) and Article 53(11), Article 54, Article 55(4) to Article 55(8), 56, and Article 57, may be amended either by a revision conference or according to the provisions of Article 61. | 506 |

Article 61 - Amendment of Certain Provisions of the Treaty

- 508 (1)
- 509 (a) Proposals for the amendment of Article 53(5), Article 53(9) and (11), Article 54, Article 55(4) to Article 55(8), Article 56, and Article 57, may be initiated by any State member of the Assembly, by the Executive Committee, or by the Director General.
- 510 (b) Such proposals shall be communicated by the Director General to the Contracting States at least six months in advance of their consideration by the Assembly.
- 511 (2)
- 512 (a) Amendments to the Articles referred to in paragraph (1) shall be adopted by the Assembly.
- 513 (b) Adoption shall require three-fourths of the votes cast.
- 514 (3)
- 515 (a) Any amendment to the Articles referred to in paragraph (1) shall enter into force one month after written notifications of acceptance, effected in accordance with their respective constitutional processes, have been received by the Director General from three-fourths of the States members of the Assembly at the time it adopted the amendment.
- 516 (b) Any amendment to the said Articles thus accepted shall bind all the States which are members of the Assembly at the time the amendment enters into force, provided that any amendment increasing the financial obligations of the Contracting States shall bind only those States which have notified their acceptance of such amendment.
- 517 (c) Any amendment accepted in accordance with the provisions of subparagraph (a) shall bind all States which become members of the Assembly after the date on which the amendment entered

507 into force in accordance with the provisions of subparagraph (a).

CHAPTER VIII - Final Provisions

518

Article 62 - Becoming Party to the Treaty

519

- (1) Any State member of the International Union for the Protection of Industrial Property may become party to this Treaty by:
- 520
- (i) signature followed by the deposit of an instrument of ratification, or
- 521
- (ii) deposit of an instrument of accession.
- 522
- (2) Instruments of ratification or accession shall be deposited the Director General.
- 523
- (3) The provisions of Article 24 of the Stockholm Act of the Paris Convention for the Protection of Industrial Property shall apply to this Treaty.
- 524
- (4) paragraph (3) shall in no way be understood as implying the recognition or tacit acceptance by a Contracting State of the factual situation concerning a territory to which this Treaty is made applicable by another Contracting State by virtue of the said paragraph.
- 525

Article 63 - Entry into Force of the Treaty

526

- (1)
- 527
- (a) Subject to the provisions of paragraph (3), this Treaty shall enter into force three months after eight States have deposited their instruments of ratification or accession, provided that at least four of those States each fulfill any of the following conditions:
- 528
- (i) the number of applications filed in the State has exceeded
- 529

| | | | |
|-----|--|--|-----|
| | 40,000 according to the most recent annual statistics published by the International Bureau, (ii) the nationals or residents of the State have filed at least 1,000 applications in one foreign country according to the most recent annual statistics published by the International Bureau, (iii) the national Office of the State has received at least 10,000 applications from nationals or residents of foreign countries according to the most recent annual statistics published by the International Bureau. | (2) | 537 |
| 530 | (b) For the purposes of this paragraph, the term “applications” does not include applications for utility models. | (a) Any State not having made a declaration under paragraph (1)(a) may declare that: | 538 |
| 531 | (2) Subject to the provisions of paragraph (3), any State which does not become party to this Treaty upon entry into force under paragraph (1) shall become bound by this Treaty three months after the date on which such State has deposited its instrument of ratification or accession. | (i) it shall not be bound by the provisions of Article 39(1) with respect to the furnishing of a copy of the international application and a translation thereof (as prescribed), | 539 |
| 532 | (3) The provisions of Chapter II and the corresponding provisions of the Regulations annexed to this Treaty shall become applicable, however, only on the date on which three States each of which fulfill at least one of the three requirements specified in paragraph (1) become party to this Treaty without declaring, as provided in Article 64(1), that they do not intend to be bound by the provisions of Chapter II. That date shall not, however, be prior to that of the initial entry into force under paragraph (1). | (ii) the obligation to delay national processing, as provided for under Article 40, shall not prevent publication, by or through its national Office, of the international application or a translation thereof, it being understood, however, that it is not exempted from the limitations provided for in Article 30 and Article 38. | 540 |
| | | (b) States making such a declaration shall be bound accordingly. | 541 |
| | | (3) | 542 |
| | | (a) Any State may declare that, as far as it is concerned, international publication of international applications is not required. | 543 |
| | | (b) Where, at the expiration of 18 months from the priority date, the international application contains the designation only of such States as have made declarations under subparagraph (a), the international application shall not be published by virtue of Article 21(2). | 544 |
| 533 | Article 64 - Reservations | (c) Where the provisions of subparagraph (b) apply, the international application shall nevertheless be published by the International Bureau: | 545 |
| 534 | (1) | (i) at the request of the applicant, as provided in the Regulations, | 546 |
| 535 | (a) Any State may declare that it shall not be bound by the provisions of Chapter II. | (ii) when a national application or a patent based on the international application is published by or on behalf of the national | 547 |
| 536 | (b) States making a declaration under subparagraph (a) shall not be bound by the provisions of Chapter II and the corresponding provisions of the Regulations. | | |

Office of any designated State having made a declaration under subparagraph (a), promptly after such publication but not before the expiration of 18 months from the priority date.

548 (4)

549 (a) Any State whose national law provides for prior art effect of its patents as from a date before publication, but does not equate prior art purposes the priority date claimed under the Paris Convention for the Protection of Industrial Property to the actual filing date in that State, may declare that the filing outside that State of an international application designating that State is not equated to an actual filing in that State for prior art purposes.

550 (b) Any State making a declaration under subparagraph (a) shall to that extent not be bound by the provisions of Article 11(3).

551 (c) Any State making a declaration under subparagraph (a) shall, at the same time, state in writing the date from which, and the conditions under which, the prior art effect of any international application designating that State becomes effective in that State. This statement may be modified at any time by notification addressed to the Director General.

552 (5) Each State may declare that it does not consider itself bound by Article 59. With regard to any dispute between any Contracting State having made such a declaration and any other Contracting State, the provisions of Article 59 shall not apply.

553 (6)

554 (a) Any declaration made under this Article shall be made in writing. It may be made at the time of signing this Treaty, at the time of depositing the instrument of ratification or accession, or, except in the case referred to in paragraph (5), at any later time by notification addressed to the Director General. In the

case of the said notification, the declaration shall take effect six months after the day on which the Director General has received the notification, and shall not affect international applications filed prior to the expiration of the said six-month period.

(b) Any declaration made under this Article may be withdrawn at any time by notification addressed to the Director General. Such withdrawal shall take effect three months after the day on which the Director General has received the notification and, in the case of the withdrawal of a declaration made under paragraph (3), shall not affect international applications filed prior to the expiration of the said three-month period.

(7) No reservations to this Treaty other than the reservations under paragraph (1) to paragraph (5) are permitted.

Article 65 - Gradual Application

(1) If the agreement with any International Searching or Preliminary Examining Authority provides, transitionally, for limits on the number or kind of international applications that such Authority undertakes to process, the Assembly shall adopt the measures necessary for the gradual application of this Treaty and the Regulations in respect of given categories of international applications. This provision shall also apply to requests for an international-type search under Article 15(5).

(2) The Assembly shall fix the dates from which, subject to the provision of paragraph (1), international applications may be filed and demands for international preliminary examination may be submitted. Such dates shall not be later than six months after this Treaty has entered into force according to the provisions of Article 63(1), or after Chapter II has become applicable under Article 63(3), respectively.

Article 66 - Denunciation

- 561 (1) Any Contracting State may denounce this Treaty by notification addressed to the Director General.
- 562 (2) Denunciation shall take effect six months after receipt of the said notification by the Director General. It shall not affect the effects of the international application in the denouncing State if the international application was filed, and, where the denouncing State has been elected, the election was made, prior to the expiration of the said six-month period.

Article 67 - Signature and Languages

- 563 (1) (a) This Treaty shall be signed in a single original in the English and French languages, both texts being equally authentic.
- 564 (b) Official texts shall be established by the Director General, after consultation with the interested Governments, in the German, Japanese, Portuguese, Russian and Spanish languages, and such other languages as the Assembly may designate.
- 565 (2) This Treaty shall remain open for signature at Washington until December 31, 1970.

Article 68 - Depositary Functions

- 567 (1) The original of this Treaty, when no longer open for signature, shall be deposited with the Director General.
- 568 (2) The Director General shall transmit two copies, certified by him, of this Treaty and the Regulations annexed hereto to the Governments of all States party to the Paris Convention for the Protection Industrial Property and, on request, to the Government of any other State.
- 569 (3) The Director General shall register this Treaty with the
- 570

560 Secretariat of the United Nations.

- (4) The Director General shall transmit two copies, certified by him, of any amendment to this Treaty and the Regulations to the Governments of all Contracting States and, on request, to the Government of any other State. 571

Article 69 - Notifications

The Director General shall notify the Governments of all States party to the Paris Convention for the Protection of Industrial Property of: 572

- (i) signatures under Article 62, 574
- (ii) deposits of instruments of ratification or accession under Article 62, 575
- (iii) the date of entry into force of this Treaty and the date from which Chapter II is applicable in accordance with Article 63(3), 576
- (iv) any declarations made under Article 64(1) to Article 64(5), 577
- (v) withdrawals of any declarations made under Article 64(6)(b), 578
- (vi) denunciations received under Article 66, and 579
- (vii) any declarations made under Article 31(4). 580

581 Metadata

582 SiSU Metadata, document information

Document Manifest @:

http://www.jus.uio.no/lm/wipo.pct.patent.cooperation.revised.treaty.1985/sisu_manifest.html

Title: Patent Cooperation Treaty (PCT), Done at Washington on June 19, 1970, amended on October 2, 1979, and modified on February 3, 1984, and Regulations under the PCT (as in force on January 1, 1985) World Intellectual Property Organization, Geneva 1985 [WIPO Publication Nø 274(E)]

Creator: World Intellectual Property Organization (WIPO)

Rights: Copyright (C) 1985 World Intellectual Property Organization (WIPO)

Publisher: SiSU <http://www.jus.uio.no/sisu> (this copy)

Date: 1985-01-01

Version Information

Sourcefile: wipo.pct.patent.cooperation.revised.treaty.1985.sst

Filetype: SiSU text 2.0

Source Digest: MD5(wipo.pct.patent.cooperation.revised.treaty.1985.sst)=86cfc6516ff0d82c7949bd5538be38a

Skin Digest: MD5(skin_lm.rb)=d57d00959e2f17718604c7e7fad6b92

Generated

Document (dal) last generated: Fri Mar 26 13:19:09 -0400 2010

Generated by: SiSU 2.0.5 of 2010w12/5 (2010-03-26)

Ruby version: ruby 1.8.7 (2010-01-10 patchlevel 249) [i486-linux]

Information on this document copy and an unofficial List of Some web related information and sources

"Support Open Standards and Software Libré for the Information Technology Infrastructure" RA

Information on this document copy

lexmercatoria.org

Generated by SiSU found at www.jus.uio.no/sisu [SiSU 2.0.5 2010w12/5] www.sisudoc.org. SiSU is software for document structuring, publishing and search (using SiSU: object citation numbering, markup, meta-markup, and system) Copyright © 1997, current 2010 Ralph Amissah, All Rights Reserved.

SiSU is released under [GPL 3](http://www.fsf.org/licenses/gpl.html) or later (www.fsf.org/licenses/gpl.html).

W3 since October 3 1993  SiSU 1997, current 2010.

Lex Mercatoria presentations at lexmercatoria.org

Patent Cooperation Treaty (PCT), Done at Washington on June 19, 1970, amended on October 2, 1979, and modified on February 3, 1984, and Regulations under the PCT (as in force on January 1, 1985) World Intellectual Property Organization, Geneva 1985 [WIPO Publication Nø 274(E)] **pdf** versions can be found at:

<http://www.jus.uio.no/lm//wipo.pct.patent.cooperation.revised.treaty.1985/portrait.pdf>

<http://www.jus.uio.no/lm//wipo.pct.patent.cooperation.revised.treaty.1985/landscape.pdf>

Patent Cooperation Treaty (PCT), Done at Washington on June 19, 1970, amended on October 2, 1979, and modified on February 3, 1984, and Regulations under the PCT (as in force on January 1, 1985) World Intellectual Property Organization, Geneva 1985 [WIPO Publication Nø 274(E)] **html** versions may be found at:

<http://www.jus.uio.no/lm//wipo.pct.patent.cooperation.revised.treaty.1985/toc.html>

or

<http://www.jus.uio.no/lm//wipo.pct.patent.cooperation.revised.treaty.1985/doc.html>

SiSU Manifest of document output and metadata may be found at:

http://www.jus.uio.no/lm//wipo.pct.patent.cooperation.revised.treaty.1985/sisu_manifest.html

Lex Mercatoria found at: lexmercatoria.org

Links that may be of interest at Lex Mercatoria and elsewhere:

Intellectual Property

(lexmercatoria.org)

<http://www.jus.uio.no/lm//intellectual.property/ip.html>

WIPO

(lexmercatoria.org)

<http://www.jus.uio.no/lm//wipo/doc.html>

Arbitration

(lexmercatoria.org)

<http://www.jus.uio.no/lm//arbitration/toc.html>

Organizations

(lexmercatoria.org)

<http://www.jus.uio.no/lm//treaties.and.organisations/organizations.html>

Lex Mercatoria home:

lexmercatoria.org